

15-Day Notice of Modifications to Amended Conflict of Interest Code
Of the
Mental Health Services
Oversight and Accountability Commission

As part of a comprehensive management review, the Mental Health Services Oversight and Accountability Commission (MHSOAC) at its November 20, 2014 meeting adopted proposed amendments to the “Conflict of Interest Code for the Mental Health Services Oversight and Accountability Commission” (Conflict of Interest Code). The Conflict of Interest Code designates positions to file a Statement of Economic Interests (Form 700) and assigns disclosure categories specifying the types of interests to be reported. The Form 700 is a public document intended to alert public officials and members of the public of financial interests that may create a conflict of interest.

On December 5, 2014, the MHSOAC published a notice of intention to amend the Conflict of Interest Code and the rationale for the amendments in the California Regulatory Notice Register No. Z2014-1124-01. Upon conclusion of the 45-day public comment period that ended on January 22, 2015, the MHSOAC submitted the amended Conflict of Interest Code to the Fair Political Practice Commission (FPPC).

The FPPC had a 45-day public comment period on the MHSOAC’s proposed amended Conflict of Interest Code. Based upon public comment received during the 45-day comment period, the FPPC provided the MHSOAC with revised language to Appendix B Disclosure Categories of the Conflict of Interest Code. The new language for the disclosure categories that was written and approved by the FPPC is shown in Appendix B of the Conflict of Interest Code by underlined (new language) and ~~strikeout~~ (deleted language).

Pursuant to Title 2 of the California Code of Regulations, Section 18750(d), the MHSOAC may, without further notice or hearing, adopt these changes to the language after the text of the changes have been made available to the public for at least 15 days prior to the adoption. The MHSOAC will, at its July 23, 2015, meeting consider adopting the changes that were written and approved by the FPPC. The July 23, 2015 meeting is more than 15 days from the date of this notice.

For your review, below is the amended Conflict of Interest Code including Appendix A and Appendix B with the proposed revisions to Appendix B provided by the FPPC. The additional text is shown in underlined text and the deleted text is shown in ~~strikeout~~ text. Anyone interested in presenting written comments concerning the modifications to the amended Conflict of Interest Code may do so by sending your comments to MHSOAC, Attention Kristal Carter at Kristal.Carter@mhsoc.ca.gov or by mail to MHSOAC, 1325 J Street, Suite 1700, Sacramento, CA 95814.

All written comments pertaining to these changes, regardless of the method of transmittal, must be received by **5:00 p.m. on July 10, 2015**.

Mental Health Services Oversight and Accountability Commission

CONFLICT-OF-INTEREST CODE

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regulations Section 18730 that contains the terms of a standard conflict-of-interest code, in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the Mental Health Services Oversight and Accountability Commission.

Individuals holding designated positions shall file their statements of economic interests with the Mental Health Services Oversight and Accountability Commission, which will make the statements available for public inspection and reproduction. (Gov. Code Section 81008.) Upon receipt of the statement(s) of the Commission Members, and the Executive Director, the Mental Health Services Oversight and Accountability Commission shall make and retain copies and forward the original of the statement(s) to the Fair Political Practices Commission. All other statements will be retained by the Mental Health Services Oversight and Accountability Commission.

NOTE: authority cited: Sections 81008, 87300, 87306, Government Code. Reference: Section 87302, Government Code.

**Mental Health Services Oversight and Accountability Commission
Conflict of Interest Code, Form 700 Designation**

**APPENDIX A
Designated Positions**

Designated Positions	Disclosure Category
Commission Member	1, 2
Executive Director	1, 2
CEA (All levels)	1, 2
Staff Counsel (All levels)	1, 2
Consulting Psychologist	1, 2
Information Officer (All levels)	2
Research Scientist Supervisor (II)	2
Research Scientist (All levels)	2
Staff Services Manager (All levels)	1, 2
Mental Health Program Supervisor	1, 2
Research Program Specialist (All levels)	2
Staff Mental Health Specialist	2
Associate Governmental Program Analyst	2
Staff Information Systems Analyst	3
Consultant and/or New Positions	*

*Consultants and/or New Positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitations:

The Executive Director may determine in writing that a particular consultant and/or a New Position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant’s and/or New Position’s duties and, based upon that description, a statement of the extent of disclosure requirements. This determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code.

**Mental Health Services Oversight and Accountability Commission
Conflict of Interest Code, Form 700 Designation**

**APPENDIX B
Disclosure Categories**

Disclosure Category 1

A person holding a position designated in Disclosure Category 1 must report all investments and business positions in business entities, and all income (including gifts, loans, and travel payments) from sources, that operate a program of the type approved by the MHSOAC including any program of the type providing mental health services to a local agency such as voluntary and outpatient services under a plan approved by the MHSOAC.

Disclosure Category 2

A person holding a position designated in Disclosure Category 2 must report all investments, and business positions in business entities, and all income (including gifts, loans, and travel payments) from sources of the type that provide services, equipment, materials, vehicles, supplies, to the MHSOAC including but not limited to:

- Contracts to evaluate the outcomes and performance of the Mental Health Services Act and the community mental health system
- Contracts related to Commission and Committee meetings and community forums such as court reporters/transcribers, interpreters, leased facilities, and public relations
- Contracts related to training, consulting, or stakeholder involvement
- ~~Interagency agreements with other state entities such as CalHR, University Enterprises Inc. (student assistants)~~

Disclosure Category 3

A person holding a position designated in Disclosure Category 3 must report all investments and business positions in business entities and all ~~sources of~~ income (including gifts, loans, and travel payments) from sources, of the type that engage in the information technology field ~~including but not limited to, training and consulting which are services of the type utilized by the MHSOAC~~ including training and consulting.