



Mental Health Services Act

(MHSA)

Estimate of Unspent Funds

Fiscal Year 2009-10

August 2013

**Toby Douglas
Director**

Table of Contents

OVERVIEW	3
REPORTING METHODOLOGY	3
FINDINGS	3
FUTURE UPDATES	4
APPENDICES	
Attachment A – Estimate of MHSA Unspent Funds for FY 2009-10	
Attachment B – End Notes	

Overview

This estimate of Mental Health Services Act (MHSA) Unspent Funds for Fiscal Year (FY) 2009-10 was prepared by the Department of Health Care Services (DHCS) in response to a request from the Mental Health Services Oversight and Accountability Commission (MHSOAC). This information provides an estimate of the unspent MHSA funds by component. To determine the amount of unspent funds, all component allocations and program expenditures were reviewed from FY 2005-06 through FY 2011-12. The information provided is current as of May 1, 2013. Because the information provided is based on the county's estimates of FY 2011-12 expenditures, this information will not be used to determine reversion of funds.

Reporting Methodology

For each county, DHCS prepared a spend-down of funds for each component utilizing a first-in-first-out expenditure methodology. Community Services and Supports (CSS), Prevention and Early Intervention (PEI), Innovation (INN) funds are available annually and must be spent within three years. Workforce Education and Training (WET), Capital Facility/Technological Needs (CFTN), and WET Regional Partnership funds were available only during specified years and must be spent within ten years. PEI Training, Technical Assistance and Capacity Building funds were available during specified years and must be spent within three years. PEI Statewide Program Funds were available during specified years and are considered spent, for purposes of reversion, when assigned to the Joint Powers Authority (JPA) that administers the funds on behalf of the counties. For counties not assigning funds to the JPA, the funds must be spent within three years.

Component allocation information was taken from the Information Notices that were issued for each fiscal year that funds were made available. Expenditure information was taken from MHSA Annual Revenue and Expenditure Reports (RERs) for FY 2006-07 through FY 2010-11. FY 2011-12 expenditure information was taken from a FY 2009-10 Unexpended Funds Survey or the FY 2011-12 RER, if available. Expenditures for PEI Statewide Program Funds are reported by California Mental Health Services Authority (CalMHSA) (for counties participating in the JPA) or from the RER (for counties not participating in the JPA).

Interest earned on the Local Mental Health Fund was not included when calculating unspent funds. The reattribution of INN funds, per Information Notice 11-15, is not reflected in the estimate.

Findings

- Total unspent funds for CSS, PEI, INN, PEI TTACB, and PEI Statewide Program Funds (County) is \$71,859,148 or 5.5% of the total funds available.

- Total unspent funds for WET, CFTN, WET RP is \$458,072,993 or 67.8% of the total funds available. Counties have until FY 2016-17 or FY 2017-18 to fully expend the funds, depending on the year of distribution.
- Total CSS unspent funds is \$1,181,421 or 0.1% of the total funds available.
- Total PEI unspent funds is \$35,976,187 or 10.9% of the total funds available.
- Total INN unspent funds is \$30,603,183 or 43.1% of the total funds available. This amount is likely to be reduced based on the reattribution of INN funds to CSS and PEI, per Information Notice 11-15.

Future Updates

DHCS will update this information after review of the FY 2011-12 RERs.

Questions regarding this information may be sent to Donna Ures, Chief, Program Outcomes, Evaluation and Reporting at Donna.Ures@dhcs.ca.gov or (916) 324-0401.

Estimate of MHSA Unspent Funds for FY 2009-10^{1,2,3}
 (Information Current as of May 1, 2013 for Expenditures Through FY 2011-12)

The Department of Health Care Services (DHCS) surveyed county/city mental health departments to determine the amount of unspent FY 2009-10 MHSA funds by component. This information was requested by the Mental Health Services Oversight and Accountability Commission (MHSOAC). This information provides an estimate of the remaining funds and may be used for evaluation purposes.

County	Community Services and Supports	Prevention and Early Intervention	Innovation ⁴	Workforce Education and Training ⁵	Capital Facility Technological Needs ⁵	PEI Training, Technical Assistance, and Capacity Building	WET RP	PEI Statewide Program Funds (JPA) ⁶	PEI Statewide Program Funds (County) ⁷
Alameda	\$ -	\$ -	\$ 1,279,133	\$ 6,520,670	\$ 12,912,549	\$ 141,352	\$ 36,508	\$ -	\$ 191,105
Alpine ⁸	\$ 872,600	\$ 225,000	\$ 62,000	\$ 450,000	\$ 788,500	\$ 400	\$ -	\$ -	\$ 25,000
Amador	\$ -	\$ -	\$ 50,710	\$ 302,078	\$ 783,875	\$ 9,200	\$ -	\$ 47,145	\$ -
Berkeley City	\$ -	\$ 9,806	\$ -	\$ 603,906	\$ 1,432,100	\$ 38,600	\$ -	\$ -	\$ 127,900
Butte	\$ -	\$ -	\$ -	\$ 727,688	\$ 984,277	\$ -	\$ -	\$ 326,434	\$ -
Calaveras	\$ -	\$ -	\$ 7,478	\$ 201,052	\$ 422,138	\$ -	\$ -	\$ 61,616	\$ -
Colusa ⁸	\$ -	\$ 89,352	\$ 101,500	\$ 211,057	\$ 503,989	\$ 3,100	\$ -	\$ 37,299	\$ -
Contra Costa	\$ -	\$ -	\$ 608,395	\$ 3,048,742	\$ 6,702,080	\$ 277,400	\$ -	\$ 1,368,396	\$ -
Del Norte	\$ -	\$ 68,783	\$ 13,341	\$ 450,000	\$ 788,500	\$ 7,600	\$ -	\$ -	\$ 25,300
El Dorado	\$ -	\$ -	\$ -	\$ 285,555	\$ 1,401,474	\$ -	\$ -	\$ 216,628	\$ -
Fresno	\$ -	\$ 28,277	\$ 1,421,581	\$ 3,865,660	\$ 8,509,936	\$ 115,523	\$ -	\$ 1,489,690	\$ -
Glenn	\$ -	\$ -	\$ -	\$ 401,097	\$ 311,470	\$ 4,000	\$ 923,015	\$ 40,431	\$ -
Humboldt	\$ -	\$ -	\$ 40,981	\$ 496,169	\$ 653,662	\$ -	\$ -	\$ 187,536	\$ -
Imperial	\$ -	\$ -	\$ 325,033	\$ 896,762	\$ 1,523,800	\$ 56,600	\$ -	\$ 279,736	\$ -
Inyo	\$ -	\$ -	\$ 71,800	\$ 402,806	\$ 202,612	\$ 4,200	\$ -	\$ 38,641	\$ -
Kern	\$ -	\$ 1,692,279	\$ 131,141	\$ 2,133,612	\$ 7,903,939	\$ 198,713	\$ -	\$ 1,276,941	\$ -
Kings	\$ -	\$ 95,137	\$ 67,234	\$ 154,063	\$ 1,648,400	\$ 45,400	\$ -	\$ -	\$ 126,244
Lake	\$ -	\$ -	\$ 117,640	\$ 357,037	\$ 420,938	\$ 8,900	\$ -	\$ 88,322	\$ -
Lassen	\$ -	\$ 115,934	\$ 90,243	\$ 370,002	\$ 609,527	\$ 7,600	\$ -	\$ 39,104	\$ -
Los Angeles	\$ -	\$ 20,838,265	\$ 15,435,046	\$ 40,686,408	\$ 104,981,553	\$ -	\$ 184,640	\$ 17,423,327	\$ -
Madera	\$ -	\$ -	\$ -	\$ 102,267	\$ 37,027	\$ -	\$ -	\$ 242,289	\$ -
Marin	\$ -	\$ -	\$ 402,000	\$ 390,051	\$ 1,265,408	\$ -	\$ -	\$ 331,804	\$ -
Mariposa	\$ -	\$ 184,252	\$ 63,503	\$ 334,373	\$ -	\$ 4,400	\$ -	\$ 38,641	\$ -
Mendocino	\$ -	\$ -	\$ 181,400	\$ 346,284	\$ 925,900	\$ 1,983	\$ -	\$ 122,338	\$ -
Merced	\$ -	\$ 995,502	\$ 394,684	\$ 645,322	\$ 1,655,671	\$ 85,400	\$ -	\$ 422,514	\$ -
Modoc	\$ -	\$ -	\$ -	\$ 326,313	\$ 788,500	\$ 2,400	\$ -	\$ 37,299	\$ -
Mono	\$ -	\$ -	\$ 24,719	\$ 138,037	\$ 288,048	\$ 2,115	\$ -	\$ 38,641	\$ -
Monterey	\$ -	\$ -	\$ -	\$ -	\$ 2,308,117	\$ 136,800	\$ -	\$ 681,214	\$ -
Napa	\$ -	\$ -	\$ 235,213	\$ 526,778	\$ 712,218	\$ 37,200	\$ -	\$ 187,175	\$ -
Nevada	\$ -	\$ -	\$ 61,094	\$ 322,815	\$ 175,751	\$ -	\$ -	\$ -	\$ 86,500
Orange	\$ -	\$ 10,160,121	\$ 1,489,899	\$ 5,753,863	\$ 21,464,624	\$ -	\$ -	\$ 4,974,385	\$ -
Placer	\$ -	\$ -	\$ -	\$ 391,060	\$ 2,913,912	\$ 9,663	\$ 390,748	\$ 408,937	\$ -
Plumas	\$ 308,821	\$ 122,492	\$ 98,000	\$ 102,808	\$ 395,750	\$ 4,200	\$ -	\$ -	\$ 25,000
Riverside	\$ -	\$ -	\$ 1,701,035	\$ 7,299,121	\$ 12,483,015	\$ 309,927	\$ -	\$ 3,303,127	\$ -
Sacramento	\$ -	\$ -	\$ -	\$ 5,601,620	\$ 8,953,000	\$ 288,703	\$ -	\$ 1,986,950	\$ -
San Benito	\$ -	\$ 70,850	\$ 120,000	\$ 430,000	\$ 788,500	\$ 16,600	\$ -	\$ -	\$ 55,400
San Bernardino	\$ -	\$ -	\$ -	\$ 5,619,060	\$ 17,789,847	\$ -	\$ 503,112	\$ 3,213,314	\$ -
San Diego	\$ -	\$ -	\$ 1,542,199	\$ 11,015,403	\$ 30,163,904	\$ -	\$ -	\$ 5,037,792	\$ -
San Francisco	\$ -	\$ -	\$ -	\$ 2,079,677	\$ 3,547,339	\$ -	\$ -	\$ 1,153,584	\$ -
San Joaquin	\$ -	\$ -	\$ -	\$ 2,583,805	\$ 7,456,100	\$ -	\$ -	\$ 1,310,601	\$ -
San Luis Obispo	\$ -	\$ -	\$ -	\$ 489,156	\$ -	\$ 35,141	\$ -	\$ 384,918	\$ -

Estimate of MHSA Unspent Funds for FY 2009-10^{1,2,3}
 (Information Current as of May 1, 2013 for Expenditures Through FY 2011-12)

County	Community Services and Supports	Prevention and Early Intervention	Innovation ⁴	Workforce Education and Training ⁵	Capital Facility Technological Needs ⁵	PEI Training, Technical Assistance, and Capacity Building	WET RP	PEI Statewide Program Funds (JPA) ⁶	PEI Statewide Program Funds (County) ⁷
San Mateo	\$ -	\$ -	\$ 248,984	\$ 2,058,481	\$ -	\$ -	\$ -	\$ 973,782	\$ -
Santa Barbara	\$ -	\$ -	\$ -	\$ 1,125,465	\$ 2,567,310	\$ 87,813	\$ -	\$ 674,649	\$ -
Santa Clara	\$ -	\$ -	\$ 709,741	\$ 4,139,151	\$ 16,918,152	\$ 579,800	\$ -	\$ 2,874,795	\$ -
Santa Cruz	\$ -	\$ -	\$ -	\$ 671,400	\$ 2,748,089	\$ -	\$ -	\$ 421,469	\$ -
Shasta	\$ -	\$ -	\$ 311,720	\$ 549,315	\$ 1,464,470	\$ -	\$ -	\$ -	\$ 176,100
Sierra	\$ -	\$ 209,795	\$ 63,500	\$ -	\$ 600,390	\$ 800	\$ -	\$ -	\$ 25,000
Siskiyou	\$ -	\$ 202,558	\$ 122,800	\$ 421,278	\$ 627,624	\$ 10,800	\$ -	\$ 53,411	\$ -
Solano	\$ -	\$ 528,664	\$ -	\$ 1,048,235	\$ 3,398,878	\$ 62,400	\$ -	\$ 612,770	\$ -
Sonoma	\$ -	\$ -	\$ -	\$ 3,674,101	\$ 3,186,666	\$ 132,000	\$ -	\$ 656,001	\$ -
Stanislaus	\$ -	\$ 5,580	\$ 368,917	\$ 969,243	\$ 3,833,330	\$ 5,416	\$ -	\$ 761,181	\$ -
Sutter-Yuba	\$ -	\$ 297,933	\$ 344,500	\$ 888,849	\$ 468,085	\$ 45,400	\$ -	\$ 226,775	\$ -
Tehama	\$ -	\$ -	\$ -	\$ 415,438	\$ 737,924	\$ 18,200	\$ -	\$ -	\$ 60,700
Tri-City	\$ -	\$ 35,604	\$ 275,747	\$ 1,099,022	\$ 2,706,700	\$ -	\$ -	\$ 315,771	\$ -
Trinity	\$ -	\$ -	\$ -	\$ 129,283	\$ -	\$ 3,200	\$ -	\$ 37,299	\$ -
Tulare	\$ -	\$ -	\$ 719,103	\$ 2,282,463	\$ 4,955,690	\$ -	\$ -	\$ -	\$ 367,149
Tuolumne	\$ -	\$ -	\$ -	\$ 190,337	\$ 347,425	\$ 8,011	\$ -	\$ 74,654	\$ -
Ventura	\$ -	\$ -	\$ 1,301,167	\$ 3,128,462	\$ 7,128,414	\$ -	\$ -	\$ 1,245,461	\$ -
Yolo	\$ -	\$ 1	\$ -	\$ 830,376	\$ 2,064,801	\$ -	\$ -	\$ 310,620	\$ -
TOTAL UNSPENT	\$ 1,181,421	\$ 35,976,187	\$ 30,603,183	\$ 130,683,075	\$ 321,351,894	\$ 2,806,960	\$ 2,038,023	\$ 56,035,407	\$ 1,291,398
Available Funds⁹	\$ 900,000,000	\$ 330,000,000	\$ 71,000,000	\$ 210,000,000	\$ 453,400,000	\$ 12,000,000	\$ 6,000,000	\$ 74,607,000	\$ 5,393,000
% of Funds Unspent	0.1%	10.9%	43.1%	62.2%	70.9%	23.4%	34.0%		23.9%

Total Unspent Funds - 3 year funds (CSS, PEI, INN, PEI TTACB, PEI Statewide Program Funds (County)): \$ 71,859,148 5.5%

Total Unspent Funds - 10 year funds (WET, CFTN, WET RP): \$ 454,072,993 67.8%

PEI Statewide Program Funds (JPA) - Funds are considered expended when assigned to California Mental Health Services Authority. These funds are available for CalMHSA to continue their implementation of the Statewide PEI Projects.

END NOTES:

¹ Expenditure information is taken from the counties' Revenue and Expenditure Reports for FY 06-07 through FY 10-11. FY 11-12 expenditure information is taken from the FY 2009-10 Unexpended Funds Survey or the FY 11-12 Revenue and Expenditure Report, if available.

² Interest earned on the local Mental Health Fund was not included when calculating unspent funds.

³ Funds are expended on a first-in-first-out basis.

⁴ The reattribution of Innovation funds, per DMH Information Notice 11-15, is not reflected in this table. The amounts listed will likely be reduced based on reattribution once the FY 11-12 Revenue and Expenditure Reports are submitted.

⁵ MHSA funds for Workforce Education and Training, and Capital Facility/Technological Needs components are available for use for 10 years.

⁶ PEI Statewide Program Funds are calculated according to expenditures reported by CalMHSA as of June 30, 2012 (for counties participating in the JPA) or as reported on the FY 11/12 RER or FY 2009-10 Unexpended Funds Survey (for counties not participating in the JPA).

⁷ For counties not participating in the JPA, expenditures are reported on the FY 2010-11 Revenue and Expenditure Report or the FY 2009-10 Unexpended Funds Survey.

⁸ Alpine and Colusa counties have not submitted expenditure information for FY 11-12.

⁹ Available funds (component allocations) include: FY 2009-10 for CSS, PEI, INN; FY 2006-07 and 2007-08 for WET; FY 2007-08 and 2008-09 for CFTN; FY 2008-09 and 2009-10 for PEI TTACB, WET RP, and PEI Statewide Program Funds.