

**California's Investment in the
Public Mental Health System:
Prop 63 Expenditures and Activities -
A Snapshot of Outreach and Engagement
(Fiscal Year 09-10)**

Executive Summary



UCLA Center for Healthier Children, Youth and Families

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Mental Health Services Oversight and Accountability Commission

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Executive Summary

Proposition 63 (2004) provides increased funding through the Mental Health Services Act (MHSA) to support mental health services for underserved and previously unserved individuals within the context of the public mental health system. Prop 63 funds are distributed to county departments of mental health, two or more county mental health departments acting jointly, and/or city-operated programs ¹ to implement MHSA components. ² Components are: Prevention and Early Intervention (PEI), Workforce Education and Training (WET), Capital Facilities and Technological Needs (CF/TN), Innovation (INN) and Community Services and Supports (CSS), ³ which includes the Full Service Partnership (FSP). ⁴

The focus of this brief report is the Outreach and Engagement (O&E) service category of the CSS component. Per the California Code of Regulations: ⁵

“Outreach and Engagement Service Category” means the service category of the Community Services and Supports component of the Three-Year Program and Expenditure Plan under which the County may fund activities to reach, identify, and engage unserved individuals and communities in the mental health system and reduce disparities identified by the County.

CSS plans, Fiscal Year Annual Updates (FY 11-12) and Revenue and Expenditure Reports from FY 09-10 were systematically reviewed in order to summarize O&E activities implemented in FY 09-10. The findings include:

Populations

Outreach and Engagement are summarized by age group across the counties/municipalities in Table 1. ⁶

Table 1
Number and Percent of Counties/Municipalities Reporting Implementation of Outreach and Engagement by Age Group
(FY 09-10)

	Counties: CYF (N=56)		Counties: TAY (N=56)		Counties: Adults (N=56)		Counties: Older Adults (N=56)	
	N	%	N	%	N	%	N	%
Outreach and Engagement	45	80.4%	47	83.9%	53	94.6%	48	85.7%

In addition, one county did not specify the ages to which O&E is conducted (1.8%). The data displayed in Table 1 support the following conclusions regarding implementation of initial O&E services across the state:

- Outreach is conducted by 80.4 to 94.6 percent of the counties, depending upon the age group.

The number of individuals reached by Outreach and Engagement efforts in FY 09-10 is displayed in Table 2.⁷

Table 2
Number of Counties/Municipalities and Underserved/Unserved Population(s)
Outreach and Engagement: Age Group and Number of Individuals Reached
(FY 09-10)

	Counties: CYF (N=36)		Counties: TAY (N=36)		Counties: Adult (N=42)		Counties: Older Adults (N=37)	
	N	%	N	%	N	%	N	%
Number of individuals	16,936	18.9%	10,409	11.6%	41,891	46.8%	9,442	10.6%

In addition, seven counties did not break out the population into age groups. Outreach was conducted to 10,442 individuals between these seven counties. The total number reached (all ages combined) in FY 09-10 equaled 89,533, with 44 counties documenting the actual numbers reached, either by age group, or across ages.⁸

Outreach and Engagement to specific racial and ethnic populations in FY 09-10 is displayed in Table 3. The number of counties reporting O&E efforts to each population is reported and the percentage represents the number of counties out of 56 (three counties did not provide sufficient detail about O&E activities/strategies).⁹

Table 3
Number of Counties/Municipalities and Underserved/Unserviced Population(s)
Outreach and Engagement: Racial/Ethnic Background
(FY 09-10)

	Counties: (N=56)	
	N	%
Hispanic/Latino	51	91.1%
Asian	35	62.5%
Pacific Islander	24	42.9%
Black	36	64.3%
American Indian	43	76.8%
Other	38	67.9%

Most counties reported O&E efforts to Hispanic/Latinos as a priority underserved/unserved population. Consistent with a focus on this target population, 62.5 percent of counties (N=35) reported that some segment of those reached through Outreach and Engagement efforts spoke Spanish. Approximately one-third of counties reported also reaching individuals speaking other languages (N=19; 33.9%) and/or Asian languages (N=16; 28.6%).

Other populations of focus for Outreach and Engagement efforts included LGBTQ (N=14 counties; 25%) and veterans (N=6; 10.7%).

Settings/Staff

The most commonly reported setting for O&E activities was community-based agencies (N=38 counties; 67.9%). A variety of settings were reported, including street outreach for homeless populations (N=18; 32.1%), contact with schools in order to engage with children and youth (N=33; 58.9%), interaction with foster care families (N=13; 23.2%) and social service agencies (N=19; 33.9%) in order to reach particularly vulnerable populations and liaison with probation officers, police, judges and attorneys (juvenile N=27; 48.7%; adult adjudication N= 24; 42.9%) in order to offer more appropriate alternatives to incarceration for individuals with mental illness. A few counties also reported O&E to creative settings (reported in the “other” category; N=6; 10.7%), including campgrounds, parks and shopping malls.

Staff involved in O&E represented a mix of paraprofessionals and professionals, with more than half of counties reporting use of each in their efforts.

Expenditures

FY 09-10 Revenue and Expenditure Reports (RERs) were analyzed for the purpose of reporting O&E expenditures. The results are displayed in Table 4, representing 48 counties showing expenditures for this service.

Table 4
Amount Expended on Outreach and Engagement
(FY 09-10)
(N=48 Counties)

Mean	Range		Total
	Low	High	
\$1,295,339.72	\$8,666.00	\$32,889,397.29	\$71,243,684.41

Table 5 displays the estimated percentage of expenditures for each age group during FY 09-10.

Table 5
Percentage of Outreach and Engagement (Estimated) by Age Group
(FY 09-10)
(N=41 Counties)

Outreach & Engagement	CYF	TAY	Adult	OA
Expenditures	13.2%	17.4%	58.6%	10.7%

Executive Summary End Notes

¹ “County” means the County Mental Health Department, two or more County Mental Health Departments acting jointly, and/or city-operated programs receiving funds per Welfare and Institutions Code Section 5701.5:

California Code of Regulation (Barclays Official), Title 9, Division 1, Chapter 14, Mental Health Services Act. Article 2. Definitions, 3200.090. County.

Note that the direct web link to CCR specific to the Mental Health Services Act requires search onsite, using the link below. The direct link to each code cannot be reproduced, and will not lead directly to the specific CCR. The only way to retrieve each CCR is to search the site,

<http://government.westlaw.com/linkedslice/default.asp?RS=GVT1.0&VR=2.0&SP=CCR-1000&Action=Welcome>

² Components are listed in:

California Welfare and Institutions Code (WIC), Division 5. Community Mental Health Services, Part 3.7 Oversight and Accountability. (5845).

- (a) The Mental Health Services Oversight and Accountability Commission is hereby established to oversee Part 3 (commencing with Section 5800), the Adult and Older Adult Mental Health System of Care Act; Part 3.1 (commencing with Section 5820), Innovative Programs; Part 3.6 (commencing with Section 5840) Prevention and Early Intervention Programs; and Part 4 (commencing with Section 5850), the Children’s Mental Health Services Act.

Certified as current (January 18, 2013). Note that the direct web link to WIC specific to the Mental Health Services Act requires search onsite, using the link below. The direct link to each code cannot be reproduced, and will not lead directly to the specific WIC. The only way to retrieve each WIC is to search the site,

<http://leginfo.legislature.ca.gov/faces/codes.xhtml>

All components under MHSA are included under WIC 5899 (Revenue and Expenditure Report (*grammatical inconsistencies have been retained because the material has been produced, verbatim, from the original text*):

- (a) The State Department of Health Care Services, in consultation with the Mental Health Services Oversight and Accountability Commission and the California Mental Health Directors Association, shall develop and administer instructions for the Annual Mental Health Services Act Revenue and Expenditure Report. This report shall be submitted electronically to the department and to the Mental Health Services Oversight and Accountability Commission.
- (b) The purpose of the Annual Mental Health Services Act Revenue and Expenditure Report is as follows:
- 1) Identify the expenditures of Mental Health Services Act (MHSA) funds that were distributed to each county.
 - 2) Quantify the amount of additional funds, and interest earned on MHSA funds.
 - 3) Determine reversion amounts, if applicable, from prior fiscal year distributions.
- (c) This report is intended to provide information that allows for the evaluation of all of the following:
- 1) Children’s system of care.
 - 2) Prevention and early intervention programs.
 - 3) Innovative projects.
 - 4) Workforce education and training.
 - 5) Adults and older adults systems of care.
 - 6) Capital facilities and technology needs.

California Welfare and Institutions Code (WIC), Division 5. Community Mental Health Services, Part 4.5 Mental Health Services Fund. (5899).

³ The system of care is addressed in:

California Welfare and Institutions Code (WIC), Division 5. Community Mental Health Services, Part 3. Adult and Older Adult System of Care Act. Article 1. Legislative Findings and Intent (5801 – 5802) and Article 2. Establishing New County Systems of Care (5803 – 5809).

California Welfare and Institutions Code, Division 5. Community Mental Health Services, Part 4. The Children’s Mental Health Services Act. Chapter 1. Interagency System of Care (5850 – 5851.5).

CSS is addressed in:

California Code of Regulation (Barclays Official), Title 9, Division 1, Chapter 14, Mental Health Services Act. Article 2. Definitions, Community Services and Supports, 3200.080.

⁴ Full Service Partnership is addressed in:

California Code of Regulation (Barclays Official), Title 9, Division 1, Chapter 14, Mental Health Services Act. Article 6. Community Services and Supports, 3620 Full Service Partnership Service Category.

⁵ California Code of Regulation (Barclays Official), Title 9, Division 1, Chapter 14, Mental Health Services Act. Article 2. Definitions, 3200.240. Outreach and Engagement Service Category.

⁶ Three counties did not provide details about outreach and engagement in either the CSS Plan or the FY 11-12 Annual Update. Therefore, the N for the purpose of this report is 56 (rather than 59).

⁷ Documentation of actual populations reached in FY 09-10 was determined through 1) review of the original CSS plan to determine the populations identified in the county as underserved and unserved and 2) review of the FY 11-12 plan (reflecting implementation in FY 09-10) to determine if these populations were reached. One data limitation is that the FY 11-12 plan does not document whether populations reached are underserved or unserved (and they overlap for most counties). Therefore, breakout into specific underserved and unserved populations is not possible.

⁸ The number of counties in Table 2 is smaller than the number conducting outreach (Table 1) because fewer counties report out the specific numbers reached on their Annual Updates. The number of counties documented in Table 2 does not imply that fewer counties conducted O&E in FY 09-10.

⁹ Few counties broke out racial/ethnic and other data by age, so the remaining tables are aggregated across ages.

Definition of Terms

DEFINITION OF TERMS	
3M	Quarterly Assessment
AB	Assembly Bill
CF	Capital Facilities
CF/TN	Capital Facilities and Technological Needs
CMHDA	California Mental Health Directors Association
CSA	Corrections Standards Authority
CSI	Client Services Information System
CSS	Community Services and Support
CYF	Children, Youth and Families
DCR	Data Collection and Reporting System for MHSA FSP
DJJ	Division of Juvenile Justice
DMH	Department of Mental Health
DOF	Department of Finance
EAG	Evaluation Advisory Group
FFP	Federal Financial Participation
FSP	Full Service Partner
FY	Fiscal Year
GSD	General System Development
IMD	Institution for Mental Diseases
KET	Key Event Tracking
LAO	Legislative Analyst's Office
LGBTQ	Lesbian, Gay, Bi-Sexual, Transsexual/transgender and Questioning
MH	Mental Health
MHRC	Mental Health Rehabilitation Centers
MHSA	Mental Health Services Act
MHSOAC	Mental Health Services Oversight and Accountability Commission (also OAC)
OA	Older Adults
OSHPD	Office of Statewide Health Planning and Development
PAF	Partnership Assessment Form
PEI	Prevention and Early Intervention
POQI	Performance Outcomes and Quality Improvement
RER	Revenue and Expenditure Reports
RFA	Request for Applications
RFP	Request for Proposal
SAMHSA	Substance Abuse and Mental Health Services Administration
SB	Senate Bill
SED	Seriously Emotionally Disturbed
SGF	State General Fund
SMA	Statewide Maximum Allowance

DEFINITION OF TERMS

SMHA	State Mental Health Authority
SPSS	Statistical Package for the Social Sciences
TAY	Transition-Age Youth
TN	Technological Needs
WET	Workforce Education and Training
WIC	Welfare and Institutions Code
YSS	Youth Services Survey
YSS-F	Youth Services Survey for Families

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