Mental Health Services Act Expenditure Report

Fiscal Year 2011-12

CALIFORNIA DEPARTMENT OF
Mental Health

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STATE ADMINISTRATIVE EXPENDITURES

The MHSA allowed up to five percent of the total annual revenues in each fiscal year for state administrative expenditures to support DMH, CMHPC, MHSOAC and other state entities. After AB 100, and the reduction of administrative expenditures from five percent to 3.5 percent, MOUs between other state entities and DMH were reduced. MHSA activities are administered by the following agencies:

Judicial Branch (JB)

FY 2010-11	FY 2011-12	FY 2012-13
$1,003,000	$1,054,000	$1,048,000

Juvenile Court System

The JB Juvenile Court System receives funding and 4.0 positions to address the increased workload relating to mental health issues in the area of PEI for juveniles with mental health illness in the juvenile court system or at risk for involvement in the juvenile court system.

FY 11-12 Deliverables

- Develop a research component to identify and evaluate programs for juvenile offenders with mental illness to increase understanding and needs related to the juvenile system
- Provide support for Judicial Officers who hear cases involving juvenile offenders with a mental illness
- Provide support to mental health related programs in juvenile court
- Identify, develop and provide appropriate mental health and interdisciplinary training opportunities for those working with Juvenile Offenders with mental illness

Adult Court System

The JB Adult Court System also receives funding and 2.0 positions to address the increased workload relating to adults in the mental health and criminal justice systems.

FY 11-12 Deliverables

- Increase understanding of mental health issues in adult courts
- Develop a research component to evaluate court programs for persons with mental illness in the Criminal Justice System

- Provide support to mental health-related programs in the courts
- Assist courts in their efforts to respond more effectively to individuals with mental illness in the courts
- Serve as a liaison between the Administrative Office of the Courts, DMH, Council on Mentally Ill Offenders and related executive branch Departments and community-based programs

State Controller's Office (SCO)

FY 2010-11	FY 2011-12	FY 2012-13
$714,000	$1,733,000	$1,259,000

The State Controller's Office receives MHSA funding for support of the 21st Century project, human resources management system.

Department of Consumer Affairs (DCA) Regulatory Boards

FY 2010-11	FY 2011-12	FY 2012-13
$94,000	$0	$0

The Budget Act of 2011 eliminated the MHSA funding for DCA.

Office of Statewide Health Planning and Development (OSHPD)

FY 2010-11	FY 2011-12	FY 2012-13
$5,681,000	$6,993,000	$18,452,000

OSHPD receives funding and 1.0 position to increase the number of California communities federally designated as mental health professional shortage areas and to expand Physician Assistants' mental health preparation to include training on cultural competency, recovery, resilience and community collaboration.

FY 2011-12 Deliverables: Physician Assistant

- Since FY 2009-10, OSHPD awarded 12 Physician Assistant program applications from five programs. These five programs added a mental health track to the Song-Brown Residency Program for Physician Assistants (PAs). This has enabled 667 PA students to receive 12,268 hours of mental health clinical training. Upon graduation, these students will be able to provide education on psychotropic issues and facilitate the integration of public mental health, substance abuse and primary care services. The 2011-12 awards will be made in February 2012.

FY 2011-12 Deliverables: Mental Health Professional Shortage Area (MHPSA)

- Together with the Board of Behavioral Sciences, provided technical assistance to National Health Service Corps (NHSC) that resulted in California's LCSWs to be eligible for federal loan repayment. Status: Completed

- Provide technical assistance seminars/teleconferences to County Mental Health providers on benefits of, and how to apply for, the MHPSA designation. Status: Completed

- Recommended approval of 154 provider sites for NHSC placement of mental health professionals resulting in $6.8 million in new federal funds per year. Status: Completed

- Reviewed and approved 64 applications for designation as MHPSAs. Status: Ongoing

- Responded to 425 requests for individual technical assistance on MHPSA applications and benefits. Status: Completed

- These activities have resulted to date in 167 federally designated mental health professional shortage areas.

FY 2011-12 Positions (OSHPD-Health Professions Education Foundation Activities)

- OSHPD also receives funding and 4.0 full-time equivalent positions to provide educational loan repayments for mental health professionals to encourage work in the public mental health system in positions the county mental health directors deem to be hard to fill or hard to retain.

- In FY 2010-11 the cost of the positions was $263,925; in FY 2011-12 the cost is projected to be $204,815.

FY 2009-10 through FY 2011-12 Deliverables (OSHPD-Health Professions Education Foundation Activities)

- Since FY 2008-09, a total of 1,077 individuals in 54 counties received Mental Health Loan Assumption Program (MHLAP) awards totaling $10.3 million. Of these, 30 percent self-identified as consumers and/or family members; and 66 were bicultural and/or bilingual. Status: Completed

- FY 2011-12 Award process: Publish FY 2011-12 MHLAP applications on the internet. Status: Completed

- Conducted Technical Assistance calls to help potential applicants. Status: Completed

- Inform county mental health directors, community based organizations and individual applicants of the new award cycle through e-mails, letters and conference calls. Status: Ongoing

Note: Amounts displayed reflect funds budgeted under state operations and local assistance. The local assistance funds displayed are considered part of the 3.5 percent state administrative expenditures as reflected in Table 4, MHSA Expenditures.

Department of Aging

FY 2010-11	FY 2011-12	FY 2012-13
$236,000	$0	$0

The Budget Act of 2011 eliminated the MHSA funding for CDA.

Department of Alcohol and Drug Programs (DADP)

FY 2010-11	FY 2011-12	FY 2012-13
$282,000	$0	$0

The Budget Act of 2011 eliminated the MHSA funding for DADP.

Department of Health Care Services (DHCS)

FY 2010-11	FY 2011-12	FY 2012-13
$1,107,000	$863,000	$7,803,000

DHCS receives funding and 2.0 positions to manage and support a contract to develop and implement the interdepartmental California Mental Health Care Management Program (CalMEND). CalMEND serves to improve mental health care for Medi-Cal beneficiaries with severe mental illness or severe emotional disturbance (SED), while managing costs for this population.

The Budget Act of 2011 reduced the MHSA expenditure for FY 2011-12 to $863,000 and two positions for projects related to pharmacology and for mental illness.

DHCS has regularly-scheduled planning, coordination and training conference calls/webinars with CalMEND team members. DHCS directed selection of and contracting with pilot sites throughout the state for CalMEND mental health/primary care integration activities. DHCS provides technical experts to support the pilot programs and is conducting two-day learning sessions (and providing technical assistance) for staff from pilot agencies (county primary care and mental health providers).

FY 2011-12 Deliverables

- Modify selected change concepts to promote integration of publicly funded primary care and mental health services. Status: In progress

- Train primary care providers on mental health care principles and practices to improve their ability to provide care to persons with severe mental illness (SMI). Status: In progress

- Conduct medication therapy management service demonstration project. Status: In progress

- Continuation of pilot collaborative performance improvement projects with specialty county mental health services. Status: In progress

- Conduct Improving Client Service Capacity learning sessions geared toward improving transitions and recovery for clients with SMI. Status: In progress

- Continue research on the safety of medications for children/youth and develop an ongoing medication utilization review and management report. Status: In progress

- Implement a decision aid tool for use in the public mental health setting that will help people make specific, deliberate choices and provide information about options and outcomes relevant to the client's health status and personal values. Status: In progress

- Contract with University of California, Los Angeles to plan and develop process for how to use decision aids within public mental health settings. Status: Completed

- Work with client and family members to gain input on decision aid tools. Status: Ongoing

Managed Risk Medical Insurance Board (MRMIB)

FY 2010-11	FY 2011-12	FY 2012-13
$130,000	$0	$0

The Budget Act of 2011 eliminated the MHSA funding for MRMIB.

Department of Developmental Services (DDS)

FY 2010-11	FY 2011-12	FY 2012-13
$1,131,000	$1,133,000	$1,129,000

DDS receives funding and 1.0 position to coordinate a statewide community-based system of mental health services for Californians with developmental disabilities by distributing funds to Regional Centers throughout California.

FY 2011-12 Deliverables

Through a Request for Applications process, distribute funds to six Regional Centers throughout California, each of which created and implemented innovative training projects focusing on early intervention and treatment for children and families impacted by mental health issues and adults with a dual diagnosis. Status: Ongoing

Launched the following DDS mental health website:
(http://www.dds.ca.gov/HealthDevelopment/MHSA_TrngRegProject.cfm

DDS is receiving MHSA funds for regional centers to develop and oversee innovative training. Some training events focus on early intervention and treatment for children and families impacted by mental health issues. Additional training addresses treatment options for adults with developmental disabilities and mental illness. This 3-year cycle will fund Regional Centers to:

- Improve the care for persons with developmental disabilities and mental illness by training direct service providers and families;

- Expand community capacity by providing best practice training for clinicians & other professionals; and,

- Address opportunities and obstacles towards improving the delivery systems at the local level by conducting Regional Planning Summits statewide.

Note: Amounts displayed reflect funds budgeted as state operations and local assistance. The local assistance funds displayed are considered part of the 3.5 percent state administrative expenditures as reflected in Table 4, MHSA Expenditures.

Department of Mental Health (DMH)

FY 2010-11	FY 2011-12	FY 2012-13
$26,394,000	$12,350,000	$0

DMH previously supported 147.0 positions and received MHSA funding to continue the statutory requirements of the MHSA. After AB 100, those positions were reduced to 24.0 positions as follows:

- CMHPC has 5.0 positions that provide oversight of DMH's workforce education and training activities, and technical assistance for county mental health departments in the development of workforce education and training plans. These positions sponsor a workgroup to develop a curriculum for training peer specialists and to evaluate the performance of local mental health programs.

- Multicultural Services has 5.0 positions that provide management, oversight and implementation of contracts for the California Reducing Disparities Project Capacity Building and Cultural Competence Consultant. These positions also work with counties to ensure compliance with the 1915(b) Specialty Health Services Consolidation Waiver and regulations pertaining to MHSA statewide reporting and tracking of access and disparities data, the continuance of cultural competency efforts in the public mental health system, and to develop and implement statewide Cultural Competency Plan Requirements for all counties, including technical assistance and review/scoring oversight.

- Community Services Division has 14.0 positions that provide data collection and analysis, policy recommendations for the design, implementation, and monitoring of MHSA statewide projects, and conduct budget and legislative bill analysis, fiscal forecasting and tracking of MHSA funding. Staff also collaborate with counties to conduct Needs Assessments of their workforce, and to write and issue Request for Proposals and MOUs based on their specified needs. Staff develops, implements, and monitors program and fiscal policies for counties. In addition, these positions provide information about suicide prevention and veterans' mental health initiatives, including data and statistics, best practices, training opportunities and educational resources for stakeholders.

Mental Health Services Oversight and Accountability Commission (MHSOAC)

FY 2010-11	FY 2011-12	FY 2012-13
$4,538,000	$5,484,000	$6,671,000

The MHSOAC receives funding and 22.0 positions to support its statutory oversight and accountability for the MHSA, Adult and Older Adult System of Care Act and Children's Mental Health Services Act. The MHSOAC had three primary roles: (1) provide oversight, review and evaluation of projects and programs supported with MHSA funds; (2) review and/or approve local MHSA funding requests; and (3) ensure oversight and accountability of the public community mental health system. The MHSOAC also advises the Governor and the Legislature regarding state actions to improve care and services for people with mental illness.

In the role of reviewing and/or approving local MHSA funding requests, the MHSOAC was mandated to review and approve all funding specifically for two of the MHSA's five components: PEI and INN programs. The MHSOAC provides review and comment for the other three components, CSS, WET and CFTN.

With the passage of AB 100, the MHSOAC no longer approves PEI and INN plans. They are charged with providing technical assistance to the counties on MHSA matters as well as maintaining the integrity for evaluation and outcome responsibilities outlined in the MHSA.

Department of Rehabilitation (DOR)

FY 2010-11	FY 2011-12	FY 2012-13
$83,000	$0	0

The Budget Act of 2011 eliminated the MHSA funding for FY 2011-12 for DOR.

Department of Social Services (DSS)

FY 2010-11	FY 2011-12	FY 2012-13
$760,000	$0	$0

The Budget Act of 2011 eliminated the MHSA funding for FY 2011-12 for DDS.

California Department of Education (CDE)

FY 2010-11	FY 2011-12	FY 2012-13
$707,000	$273,000	$162,000

The CDE receives funding which supports 1.0 position to develop a permanent partnership for children's mental health to build capacity and services to support healthy emotional development, reduce the need of more intensive, costly interventions, school failure, dropout, and long-term poor outcomes. This position will also increase knowledge and capacity about effective prevention early intervention programs, services and strategies for local education agencies (LEA), county offices of education, Special Education Local Plan Areas (SELPA) and other partners working with students with, or at risk of, mental illness, including suicide risk. The Budget Act of 2011 reduced the MHSA expenditures and 2.0 positions for county mental health programs' work with local education agencies, county offices of education, and special education local plan areas to provide necessary services.

Deliverables

- Organize the California School Mental Health Strategic Dialogue to help build capacity among state and local educational agencies and their partners to advance school mental health and to be able to provide effective and sustainable school mental health services. Status: Completed

- Provide high quality professional development for school and district level staff to train and support school sites and classrooms in recognizing children's mental health disorders. Status: CDE signed a contract with the Placer County Office of Education to develop the Training Educators through Recognition and Identification Strategies. This system utilizes a train-the-trainers model which will be disseminated through workgroups in the eleven regions of the California County Superintendents Educational Services Association.

- Identify the demand for and type of interventions needed in the schools; completed through an existing contract between the CDE and WestEd.

The Counseling, Student Support and Learning Office (CSSLO) inserted two questions related to mental health into the core questions of the California Healthy Kids Survey, depression risk and suicidal ideation. Status: Ongoing

- Provide training to teachers and middle school and high school counselors in suicide prevention and developing youth resiliency needed to negotiate emotional challenges. Status: CDE is in the process of releasing a Request for Proposal "Getting Results" for an on-line training program composed of three modules. Module two is being financed with MHSA monies.

- Research, develop and disseminate via Listserv, relevant articles and information to the 125 SELPAs and 58 Special Education Administrators of County Offices. Status: Ongoing

California State Library (CSL)

FY 2010-11	FY 2011-12	FY 2012-13
$128,000	$0	$0

Actions taken by the 2011-12 Conference Committee eliminated funding for FY 2011-12 for CSL.

Board of Governors of the California Community Colleges (Board of Governors)

FY 2010-11	FY 2011-12	FY 2012-13
$213,000	$125,000	$105,000

The Board of Governors receives funding and 1.0 position to assist in developing policies and practices that address the mental health needs of students.

FY 2009-10 through FY 2011-12 Deliverables

- Identify, develop and disseminate effective mental health practices for California Community Colleges (CCCs) students and support Chancellor's Office by convening and overseeing an inter-disciplinary, inter-agency Mental Health Services Advisory Committee which includes student representatives. Status: Ongoing support for convening of the committee was eliminated with funding reduction and is now supported by a grant through 2014.

- Monitor local, state and national data and information related to mental health and education to identify the extent of mental health issues and need at community colleges; the extent of current delivery systems; promising models and practices; resource opportunities; partnership opportunities; information and findings are shared with stakeholders through Listserv, the CCC Chancellor's Office webpage, meetings, and conferences. Status: Ongoing.

- Plan, implement and evaluate training for faculty and staff to raise awareness on the issues of Post Traumatic Stress Disorder, Traumatic Brain Injury and

depression that impact student learning through the implementation of the Zellerbach Family Foundation Grant and selected CCCs. Status: Completed

- Collaborated with faculty from San Diego Community College District to implement a system-wide study of mental health needs of community colleges. Findings were presented to the Mental Health Services Advisory Committee and at the California Association of Postsecondary Education (CAPED) convention in October 2010. Status: Completed

- Enhance coordination of services and resources by fostering relationships with key system partners (Student Services administrators, Health Services staff and related organizations, Disabled Students Program and Services, CAPED, general counseling, etc.) who work on mental health or related issues, particularly partners working with students at higher risk of mental health issues (such as foster youth, returning veterans and underserved populations). Status: Ongoing

- Enhance established Mental Health Service webpage with mental health resources and information. Status: Ongoing

- Research and assess viability of other resource opportunities such as grant and foundation funding for CCC. Status: Ongoing

- Provide input, feedback and technical assistance to DMH, counties and other local and state entities on issues related to CCC student mental health. Status: Ongoing

- Assist community colleges seeking support or information to improve services and/or address current needs on their campus. Status: Ongoing

Note: The amounts shown also include funding for Local Assistance. (See Table 4 for specific Local Assistance expenditures.)

Financial Information System for California (FI$Cal)

FY 2010-11	FY 2011-12	FY 2012-13
$28,000	$137,000	$36,000

The FI$Cal project receives funding to transform the State's systems and workforce to operate in an integrated financial management system environment. The amount displayed represents the MHSF's share of cost to support the continued development of the FI$Cal project.

The system is being designed to include standardized accounting, budgeting and procurement features. Currently early in its development, FI$Cal is headed by four partner agencies: the DOF, the SCO, the State Treasurer's Office and the Department of General Services. DOF is the lead partner.

Military Department

FY 2010-11	FY 2011-12	FY 2012-13
$366,000	$540,000	$549,000

The Military Department receives funding and 3.0 positions to support a pilot behavioral health outreach program to improve coordination between the CNG, local veteran's services and county mental health departments throughout the state. The CNG educates Guard members about mental health issues and enhances the capacity of the local mental health system through education and training in military culture.

FY 2011-12 Deliverables

- Conduct education events to inform soldiers and their families about the ways to access mental health services. Status: Ongoing

- Develop military culture training for county civilian mental health providers and service agencies. Status: Completed 10 trainings to mental health providers and service agencies with an average of 50 attendees per training in 2010. Additional trainings will be scheduled in 2012.

- Provide information about county mental health programs to CNG behavioral health providers and Guard members. Status: Ongoing

- Present suicide prevention awareness to the County Veterans Service Officers and United States Department of Defense/United States Department of Veterans Affairs at the national conference. Status: Completed

- Publish articles about suicide prevention and mental health resources in the "Grizzly," the newsletter of the California National Guard. Status: Ongoing

Department of Veterans Affairs (DVA)

FY 2010-11	FY 2011-12	FY 2012-13
$445,000	$507,000	$500,000

DVA receives funding and 2.0 positions to support a statewide administration to inform veterans and family members about federal benefits, local mental health departments and other services.

FY 2011-12 Deliverables

- Coordinate DVA Referral Management Branch for Operation Welcome Home initiative. Status: Ongoing

- Establish a statewide call center to assist veterans with resources about mental health and other services. Status: In progress

- Update and disseminate California Veterans Resource Book that includes enhanced information about mental health. Status: In progress

- Provide enhanced mental health information for veterans and families through funded MOUs with six counties (San Diego, Los Angeles, San Bernardino, Fresno, San Luis Obispo and Solano). Status: In progress

- Obtain contact information for close to 20,000 veterans a month through a MOU with Department of Motor Vehicles to include a question about military service on all driver's license, Identification Cards and renewal applications. Status: In progress

- Reduce stigma and discrimination and increase access to mental health services by developing and airing two television Public Service Announcements (PSA) with information about mental health and make PSAs available on DVD.
  Status: Completed

Note: Amounts displayed reflect funds budgeted as state operations and local assistance. The local assistance funds displayed are considered part of the 3.5 percent state administrative expenditures as reflected in Table 4, MHSA Expenditures.

MHSA Expenditures

Table 4, on the following page, summarizes MHSA expenditures for Local Assistance and State Administrative Costs by each state entity receiving a portion of MHSA funds. It displays actual expenditures for FY 2010-11, estimated expenditures for FY 2011-12, and the projected budget for FY 2012-13.

Based upon MHSF accrued revenues, the 3.5 percent administrative cap is $40.4 million and administrative expenditures are estimated at $31.2 million for FY 2011-12. For FY 2012-13, the projected 3.5 percent administrative cap is $51.5 million and the total projected expenditures are $40.1 million.

Table 4: Mental Health Services Act Expenditures
January 2012
(Dollars in Thousands)

	Actual FY 2010-11	Estimated FY 2011-12	Projected FY 2012-13
Local Assistance[6]			
Community Services and Supports	853,572	492,632	TBD
Prevention and Early Intervention	256,040	123,158	TBD
Innovation	119,332	32,410	TBD
Workforce Education and Training State Level Projects[7]	12,347	12,150	TBD
Capital Facilities and Technological Needs	2,295	0	TBD
Subtotal, Major Program Categories	**$1,243,586**	**$660,350**	**$1,400,000**
Early and Periodic Screening, Diagnosis, and Treatment (EPSDT)[8]	0	578,981	0
Mental Health Managed Care[8]	0	183,590	0
AB 3632, Special Education Pupils[8]	0	98,586	0
Total Local Assistance	**$1,243,586**	**$1,521,507**	**$1,400,000**
State Administrative Costs[9]			
Judicial Branch	$1,003	$1,054	$1,048
State Controller's Office	714	1,733	1,259
Department of Consumer Affairs Regulatory Boards	94	0	0
Office of Statewide Health Planning and Development[7]	5,681	6,993	18,452
Department of Aging	236	0	0
Department of Alcohol and Drug Programs	282	0	0
Department of Health Care Services	1,107	863	7,803
Department of Public Health	0	0	2,349
Managed Risk Medical Insurance Board	130	0	0
Department of Developmental Services	1,131	1,133	1,129
Department of Mental Health	26,394	12,350	0
Mental Health Svcs Oversight & Accountability Commission	4,538	5,484	6,671
Department of Rehabilitation	83	0	0
Department of Social Services	760	0	0
Department of Education	707	273	162
California State Library	128	0	0
Board of Governors of the California Community Colleges	213	125	105
Financial Information System for California	28	137	36
Military Department	366	540	549
Department of Veterans Affairs	445	507	500
Statewide General Admin Exp (Pro Rata)	0	24	13
Total Administration	**$44,040**	**$31,216**	**$40,076**
Total Expenditures	**$1,287,626**	**$1,552,723**	**$1,440,076**

[6] Local Assistance expenditures reflect funds to the counties for Community Services and Supports (CSS) and Prevention and Early Intervention (PEI) MHSA component allocations, as well as funds for Innovation which are a subset (5% each) of CSS and PEI funding.

Allocation amounts for FY 2012-13 are not reflected since as the result of AB 100, this responsibility will transfer to the counties and they will receive MHSA funds from the State Controller's Office on a monthly basis.

[7] Amounts displayed include payments under the Workforce Education Training (WET) Loan Assumption program and $500,000 for expansion of the Song Brown program. Both programs are administered through OSHPD. This funding is shown in the State Operations portion of the Governor's Budget consistent with existing OSHPD program budgets.

[8] AB 100 allocated $861.2 million from the MHSF to counties to meet the General Fund obligation for FY 2011-12. Total includes $579.0 million to Early and Periodic Screening, Diagnosis and Treatment (EPSDT), $183.6 million to Mental Health Managed Care (MHMC) and $98.6 million to Special Education Pupils (known as AB 3632).

[9] State entities listed in Table 4 receive funding for "State Administrative Costs" in accordance with the 3.5 percent authorized by Welfare and Institutions Code Section 5892(d).