

# Mental Health Oversight and Accountability Commission -MHSOAC-

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## 2010 Work Plan



Andrew Poat, Chair  
February 25, 2010



## MISSION

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The MHSOAC provides the vision and leadership, in collaboration with clients, their family members and underserved communities, to ensure an enhanced continuum of care for individuals at risk for and living with serious mental illness and their families by holding public systems accountable and by providing oversight, eliminating disparities, promoting mental wellness, supporting recovery and resiliency resulting in positive outcomes in California's community based mental health system.

# 2010 Priorities

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1. Fund and execute all MHPA components
  - County administered
  - Inaugurate statewide PEI projects
2. Implement Accountability Framework
  - MHPA Evaluation (Phase I)
  - Financial Report
3. Address Period of Financial Volatility: 2010 through 2014
4. Envision opportunities for restored financial growth 2014 through 2019
5. Five Year Review of MHPSOAC Processes
  - Stakeholder engagement
  - Commission procedures

# Priority 1: Fund And Execute All MHSA Components

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## List of Components:

- Community Services and Supports (CSS)
- Capital Facilities and Technological Needs (CFTN)
- Workforce Education and Training (WET)
- Prevention and Early Intervention (PEI)
- Innovation (INN)

# Priority 1: Fund And Execute All MHSA Components

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## Community Services and Supports

- Approved plans in 58 counties and 2 cities
- \$2.9B in services approved (FY 05/06 - FY 09/10) - counties have 3 years to expend the money
- **Year Ahead**
  - FY 10/11 Statewide Planning Estimate: \$783.6M (reduction of \$116.4M from FY 09/10)
  - Plan updates from 60 counties and cities
    - MHSOAC plan review/comment (Spring and Summer, 2010) - authority delegated by MHSOAC to staff for review/comment
    - MHSOAC capacity to participate subject to budget issues

# Priority 1: Fund And Execute All MHSA Components

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## Community Services and Supports

### MHSA Housing Program Overview

- **Background**
  - \$400M in planning estimates
  - CalHFA administers real estate aspects, including capital costs and operating subsidies
  - Counties apply project by project
- **Status:**
  - 42 counties and one city are participating for total of \$389.6M
  - 52 projects have been submitted – 40 approved – 933 units
  - \$154.6M MHSA dollars have leveraged \$775.1M Non-MHSA dollars – ratio of 5 to 1
  - Additional projects anticipated in Calendar Year 2010 – staff review capacity subject to MHSOAC budget issues

# Priority 1: Fund And Execute All MHSA Components

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## Capital Facilities and Technological Needs

### Overview

- Statewide Planning Estimate is \$460 million
- 28 counties and 1 city have approved plans totaling \$189.9M
- Counties have 10 years to obtain plan approval and expend the money
- **Year Ahead**
  - Plan Review (subject to MHSOAC staff capacity)
    - Additional new plans to be submitted
    - Some 3 year plans require update for FY 10/11

# Priority 1: Fund And Execute All MHSA Components

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## Workforce Education and Training

- **Statewide Program**
- **Local Program**
  - Counties submit initial plans and annual updates for FY 10/11 funding
  - MHSOAC comment (ongoing in 2010) Counties submit initial plans and annual updates for FY 10/11 funding
  - Plan Review (subject to MHSOAC staff capacity)
  - Track state level implementation through Financial Report

# Priority 1: Fund And Execute All MHSA Components

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## Workforce Education and Training

### Overview—State Administered Programs

- Approximately \$220M of the funding was retained for state level programs
- DMH responsible for implementation of state level programs (\$58M currently in contracts/MOUs—some are multi-year)
  - **Technical Assistance** (\$3.1M)
  - **Regional Partnerships** (\$9.0M)
  - **Stipends** (\$30.4M)
  - **Residency Programs** (\$4.1M)
  - **Physician Assistant MH Programs** (\$1.9M)
    - Includes assistance with mental health professional shortage area designations
  - **Loan Assumption Program** (\$8.4M)

# Priority 1: Fund And Execute All MHSA Components

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## **Prevention and Early Intervention**

- ▶ Counties have 3 years to obtain plan approval and expend the money.

### **MHSOAC Role**

- Approves Funding Requests
- Develops Guidelines
- Provides input on PEI Regulations

### **2010 Activities**

- Counties submit 11 initial plans and 58 annual updates for FY 10/11 funding
  - MHSOAC plan approval (Throughout year for initial plans, annual updates in Spring and Summer, 2010)

# Priority 1: Fund And Execute All MHSA Components

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## Prevention and Early Intervention

- **Local Programs:** Counties have 3 years to obtain plan approval and expend the money
- **Statewide Programs**

### Overview—Local Programs

- Approved plans in 48 counties and one city
- \$458.1M funding has been approved\*

### Year Ahead

- FY 10/11 Planning Estimate—\$216.2M (reduction of \$131.4M from FY 09/10)

\* This amount includes \$9.0M for regional partnerships from statewide funds

# Priority 1: Fund And Execute All MHSA Components

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## Prevention and Early Intervention

### Overview--Statewide PEI Projects

#### ▶ **Total of Five Projects**

1. Technical Assistance and Training (\$24M)
  - ▶ Implemented Locally
    - 29 counties have received plan approval

#### ○ **Strategic Plan Approval by MHSOAC for**

2. Suicide Prevention (\$40M)
3. Student Mental Health Initiative (\$60M)
4. Stigma and Discrimination Reduction (\$60M)
  - Guidelines supplementing DMH assignment option scheduled for approval January 2010

# Priority 1: Fund And Execute All MHSA Components

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## Prevention and Early Intervention

### Overview--Statewide PEI Projects

- o Strategic Plan under development for  
5. Reducing Disparities Project (\$60M)
  - ▶ Strategic Plan due to be completed in 2011

# Priority 1: Fund And Execute All MHSA Components

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## **Innovation**

### MHSOAC Role

- Approves Funding Requests
- Develops guidelines
- Provides input on INN Regulations

### Overview

- 36 counties and \$29.8M approved to date
  - 31 counties have approved planning
  - 5 counties have approved projects
- FY 10/11 Statewide Planning Estimate—\$119.6M (increase of \$48.6M from FY 09/10)

# Priority 1: Fund And Execute All MHSA Components

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## Innovation

### 2010 Activities

- Potential for up to 49 counties to submit initial plans and approximately 9 annual updates for FY 10/11 funding
  - MHSOAC plan approval (Throughout year for initial plans, annual updates in Spring and Summer, 2010)
  - Authority delegated by MHSOAC for staff to approve annual updates

# Priority 2: Implement Accountability Framework

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## MHSA Evaluation Overview

### ○ **Phase I:**

- Contractor selected (Resource Development Associates)
- Evaluation proposal by June, 2010
- Selection of Phase II consultant: Dec. 2010

### ○ **Phase II: Comprehensive Evaluation**

- Projected start: January, 2011
- Projected completion: 2012/13

# Priority 2: Implement Accountability Framework

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## Financial Report Overview

- Financial Report provides:
  - Projections of mental health funding
  - Historical expenditures
  - Other analyses
- Regular updates on Financial Framework Report from MH Policy & Funding Committee
- Policy issues relating to funding
- Important State budget updates include:
  - Governor's Budget (January)
  - May Revise (May)

# Priority 3: Address Period of Financial Volatility

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## Overview

- Decreased Planning Estimates (CSS, PEI, INN)
  - FY 09/10: 1,301M
  - FY 10//11: 1,119.4M
  
- State Administration (up to 5% of available revenues per FY)
  - FY 09/10: 71.4 M
  - FY 10/11: 51.5 M
  
- Growth is not projected until FY 2014
  - ▶ Impact
    - Prepare for downturn
    - Leverage funding issues to achieve positive change:
      - Streamlining
      - Improving System Efficiencies
      - Implementing Creative Solutions

# Priority 3: Address Period of Financial Volatility

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## 2010 Activities

- Local Programs:
  - MHSOAC Approval for County requests to reserve PEI funds
  - Design MHSOAC approval process for PEI reserve expenditures in FY10-11
- State Administration: Fulfill MHSOAC responsibilities within constraints of declining state administration funding and furloughs



# Priority 3: Envision Opportunities for Restored Financial Growth

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## 2010 Activities

- Work on prudent reserve policy with DMH
  - Reviewing and Approving PEI Funds
  - Monitoring and Tracking PEI Funds
- Work with partners and stakeholders to determine critical issues and potential strategies to address them

# Priority 4: Envision opportunities for restored financial growth: 2014 through 2019

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## **MHSA Goals:**

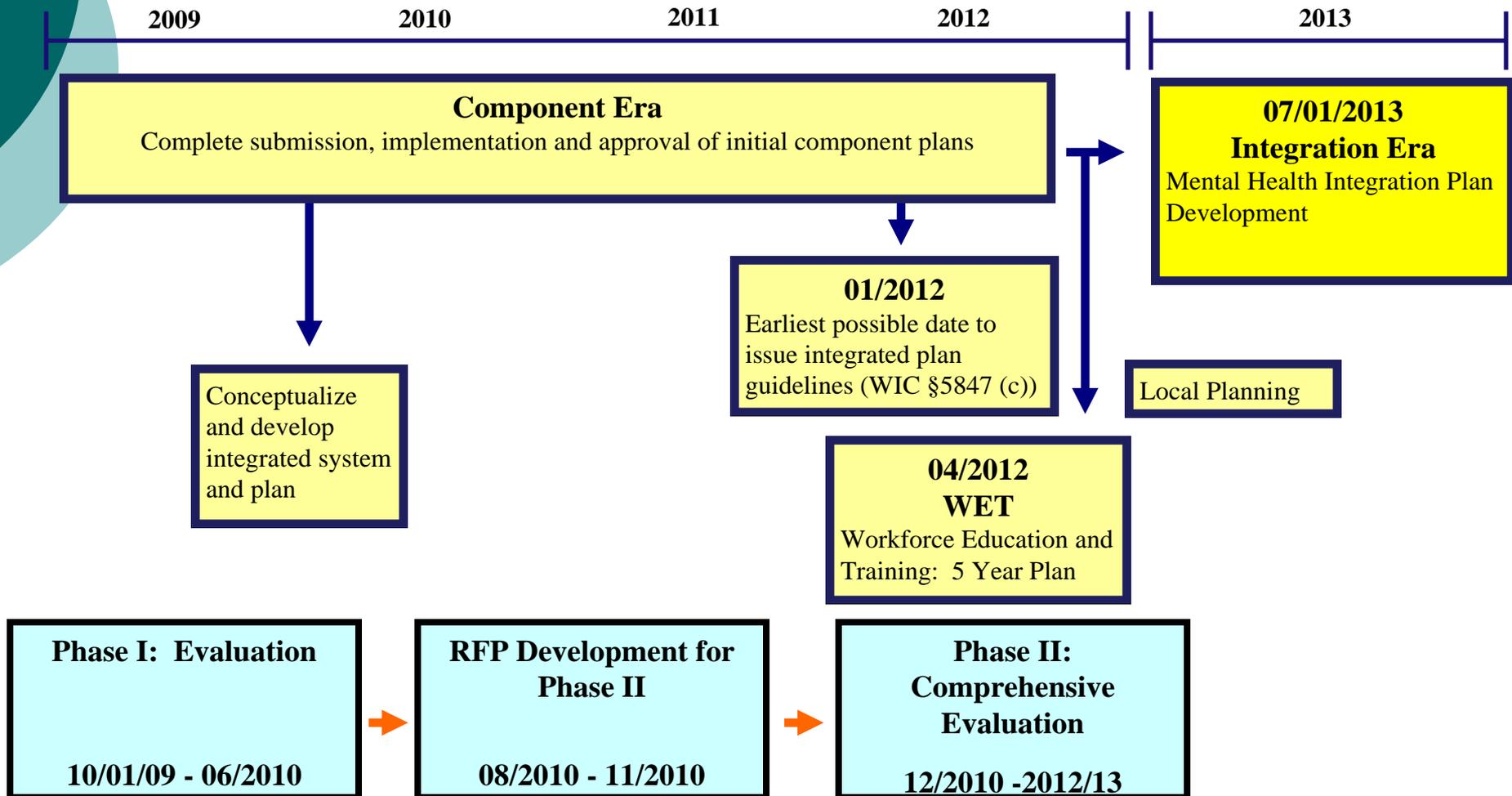
- Client Centered programs
- Prevention
- Community driven priorities

## **Upcoming Milestones:**

- Program Integration
- Evaluation of first 5 years
- 2013 Change in Administration

# Priority 4: Envision opportunities for restored financial growth: 2014 through 2019

## MHSA FRAMEWORK 2010-2013 Timeline



# Priority 4: Envision opportunities for restored financial growth 2014 through 2019

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## **Next Goal Line: Program Integration**

- Ab5XXX by 2012
- What are the key lessons of the first 5 years?
- What should program integration look like?
  - MHSA programs?
  - MHSA and Non-MHSA programs?
- How do we leverage the lessons of MHSA's first five years in designing the next five years?

# Priority 5: Complete Review of MHSOAC Critical Business Processes

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## Overview

- Stakeholder Engagement
  - The MHSOAC is committed to ongoing effective stakeholder participation and input
- Commission Processes and Procedures
  - AB 5XXX established the expectation that the MHSOAC is “separate and apart” from DMH
  - A continuous quality improvement framework is needed to examine Commission processes

# Priority 5: Complete Review of MHSOAC Critical Business Processes

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## Activities

- **Stakeholder Engagement**
  - Convene stakeholders to provide input on how to more effectively and efficiently collaborate to accomplish MHSOAC priorities
    - Stakeholder Workshop (January 29, 2010)
    - Client & Family Leadership Committee (CFLC) will provide recommendations regarding stakeholder process & engagement by April 2010
  
- **Commission Processes and Procedures**
  - Hire Executive Director--TBD
  - Appoint Committee Chairs (February 2010, and then annually in November)
  - Adopt committee charters (February 2010, and then annually)
  - Review and revise operating procedures as needed



# Proposed Timeframes

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1. 2010 election – completed
2. Committee Charters, February 2010
3. Monthly Meetings – except December
4. 2011 Officer election – October 2010
5. 2011 Committee Chairs appointed - November 2010
6. MHSOAC Chair transfer of authority, January of every year
7. Committee Membership announced January of every year

# MHSOAC Committees

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## **Policy Committees:**

- Client & Family Leadership (CFLC)
- Evaluation
- MHSOAC Services
- Cultural & Linguistic Competence (CLCC)
- Funding & Policy

## **MHSOAC Administration:**

- Operations Committee

# COMMITTEE CHARTERS and COMMITTEE PRIORITIES for 2010 (cont.)

<b>Committees</b>	<b>Prime Goal/Action</b>	<b>Second Goal/Action</b>
<b>CFLC</b>	<b>#2: Accountability Framework</b> -Stakeholder Process in Community Program Planning	<b>#5: Business Processes</b> - Stakeholder Engagement - Commission Processes and Procedures
<b>CLCC</b>	<b>#2: Accountability Framework</b> -Determine Disparity Reduction	<b>#5: Business Processes</b> - Stakeholder Engagement - Commission Processes and Procedures
<b>Evaluation</b>	<b>#2: Accountability Framework</b> -Phase I: End 7/10	<b>#2: Accountability Framework</b> -Phase II- Projected Start 1/11
<b>Funding &amp; Policy</b>	<b>#2: Accountability Framework</b> -Financial Framework	<b>#3: Financial Volatility</b> -Prudent Reserve Policy
<b>MHSOAC Services</b>	<b>#1: Fund &amp; Execute MHSA Components</b> -Statewide PEI Projects	<b>#1: Fund &amp; Execute MHSA Components</b> -Training & Technical Assistance

# COMMITTEE CHARTERS and COMMITTEE PRIORITIES for 2010

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## Operations Committee

- Manage Committee Priorities
- Address Deliverables & Timelines
- Plan Agenda for MHSOAC meetings





# Motions for MHSOAC

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The Commission adopts the work plan for 2010.