Performance Dashboard **(updated 12/1/14)**

December 2014

MHSOAC
Mental Health Services
Oversight & Accountability Commission

Current MHSOAC Evaluation Contracts and Deliverables:

California Institute for Behavioral Health Solutions (CiBHS)

Innovative Program Evaluations - Inventory, Evaluation, and Training/Technical Assistance

MHSOAC Staff: Ashley Mills

Active Dates: June 2013 – May 31, 2016

Objectives: Perform an inventory and meta-level evaluation of the Innovative program evaluation activities that have been planned or carried out by counties. Based on information gleaned from this process, identify promising Innovation evaluation practices and needs for technical assistance at the county level. Develop and provide tools, training, and technical assistance to strengthen county ability to plan and conduct high quality Innovation evaluations. Identify policy recommendations related to the Innovation component. A contract amendment extended due dates, added funding, and revised deliverables to enable more extensive tools, training and technical assistance to counties.

Status: The Contractors are currently administering surveys to counties that are either planning or implementing innovation programs. Surveys are due to the contractor no later than December 5, 2014. The results of the survey will be presented in the Inventory of County-level Innovation Evaluations (Deliverable 2) due February 27, 2015.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Inventory Method and Research/Evaluation Design	**January 21, 2014**	**$29,631**	**Completed**
#2 Inventory of County-level Innovation Evaluations	**February 27, 2015**	**$98,771**	**Pending**
#3 Report of Evaluation Results and Promising Practices	**December 31, 2014[1]**	**$19,754**	**Pending**
#4 Report of Technical Assistance to Counties	**April 30, 2016**	**$10,000**	**Pending**

[1] Due date will change based on a mutually agreed-upon date

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

#5 Report of Innovation Evaluation Policy Recommendations	**February 28, 2015**	**$19,754**	**Pending**
#6 Develop and Disseminate Tools and Resources to Counties	**January 31, 2015**	**$19,631**	**Pending**
#7 Develop and Deliver a Modular Training Curriculum to Counties	**April 30, 2016**	**$50,000**	**Pending**
Total Contract Amount		**$247,541**	

Mental Health Data Alliance (MHDatA)

CSI Data Quality Improvement Effort

MHSOAC Staff: Keith Erselius

Active Dates: June 15, 2013 – June 30, 2015

Objective: To provide counties with increased access to accurate and timely data through strengthening of the Client and Service Information (CSI) system, which collects data on all clients served via the Community Services and Support (CSS) component of the MHSA. Highlights of this contract include the following:

- Develop county-level CSI data quality reports and disseminate those reports to the counties
- Create statewide CSI data quality report that includes best collection/reporting practices
- Develop, and disseminate to counties, a tool to help counties independently analyze their own CSI data
- Create an information sharing link between CSI and DCR systems

Status: MHDatA has received two new data pulls from the Department of Health Care Services in order for Deliverable 5 to contain the most relevant information possible.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Statewide data quality best practices plan	**January 3, 2014**	**$58,000**	**Completed**

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#2 County-level data quality reports with basic CSI client information	February 28, 2014	$82,250	Completed
#3 CSI data submission file analysis tool	May 16, 2014	$46,000	Completed
#4 Statewide CSI data quality report	August 1, 2014	$76,250	Completed
#5 Create a link between the DCR and CSI in order to provide diagnosis, GAF scores, and service types for DCR clients served (including final reports)	December 15, 2014	$88,000	Pending
#6 Provide reports on the effects of full service partnership programs on emergency mental health services for youth.	April 30, 2015	$0	Pending
#7 CSI Submission File Analysis (SFA) Tool Enhancements	March 30, 2015	$64,000	Pending
#8 FSP EPLD Templates Enhancements to Support CSI Tool	March 30, 2015	$17,500	Pending
#9 Regional Trainings and Technical Assistance	June 30, 2015	$68,500	Pending
Total Contract Amount		$550,500	

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The Regents of the University of California, University of California, San Diego (IA)

Evaluation of Methods for Engaging and Serving Transition Age Youth (TAY)

MHSOAC Staff: Sheridan Merritt

Active Dates: May 1, 2014 to June 30, 2016

Objective: Identify, describe, and assess outreach/engagement strategies and services that have been or are being offered for TAY throughout the State, and promote continued identification and adoption of effective support (i.e., services, strategies, programs, systems) that promotes positive outcomes in TAY with mental health needs, including recovery and resilience.

Status: The report of proposed research design was submitted and approved in June 2014. The evaluators have secured Institutional Review Board approval for the study design, finalized data collection instruments based on stakeholder feedback and are currently conducting interviews with key county level stakeholders and collecting survey data from TAY providers throughout the State.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Research Design	June 1, 2014	$100,000	Completed
#2 Report of Research Findings	March 1, 2015	$150,000	Pending
#3 Report of Recommended Evaluation and Quality Improvement Methods	May 1, 2015	$50,000	Pending
#4 Identify, Develop, and Provide Technical Assistance to Counties			
#4a Report Describing Proposed Methods to Complete Work Outlined in Exhibit A Section 3.C.vi	May 1, 2015	$50,000	Pending
#4b Report Describing Completion of Work Outlined in Exhibit A Section 3.C.vi	March 1, 2016	$100,000	Pending
#5 Report of TAY Policy Recommendations	April 1, 2016	$50,000	Pending
Total Contract Amount		$500,000	

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The Regents of the University of California, University of California, San Diego

Community Services and Supports (CSS) Tracking, Monitoring, and Evaluation System

MHSOAC Staff: Keith Erselius

Active Dates: May 15, 2014 – June 30, 2016

Objective: Development and implementation of a tracking, monitoring, and evaluation system for adults receiving services via CSS that allows for evaluation of those clients and services. The ultimate goal of this project will be to contribute to our ability to understand and improve upon the quality of services offered via the CSS component and the statewide system that supports these services.

- Pilot data and outcomes system with select counties and providers to evaluate the feasibility of expanding the system statewide
- Inform policy and practices regarding a data collection system that could potentially expand to all MHSA components

Status: Currently the contractor is working towards the completion of the first two deliverables and has begun meeting with an Evaluation Advisory Group. A survey has been widely distributed and the contractor is receiving a good response.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Tracking, Monitoring, and Evaluation System for Adults Receiving Services within the CSS Component	**January 19, 2015**	**$144,639**	**Pending**
#2 Report of Proposed Implementation Plan to Pilot the Tracking, Monitoring, and Evaluation System in a Sample of Providers/Counties	**January 19, 2015**	**$104,458**	**Pending**
#3 Report of Proposed Research Design and Analytic Plan to Evaluate the Efficacy of CSS Services for Clients in Less Comprehensive Services than Full Service Partnerships	**April 6, 2015**	**$104,458**	**Pending**
#4 Report of Evaluation Results	**March 4, 2016**	**$203,554**	**Pending**

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

#5 Report of Policy and Practice Recommendations for How to Improve Upon Current CSS Services, Evaluations, and Systems	**March 4, 2016**	**$139,277**	**Pending**
Total Contract Amount		**$696,386**	

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Ongoing MHSOAC Internal Evaluation Projects:

MHSOAC Evaluation Unit		
Tracking and Monitoring of Mental Health Services Act (MHSA) Programs and Activities via Plans, Updates, and Expenditure Reports		
MHSOAC Staff: Keith Erselius **Active Dates**: December 2013 – December 2014 **Objectives**: Develop and implement a system for extracting and utilizing information of interest for tracking and monitoring MHSA program activities and outcomes for FY 2011/12 and 2012/13 from Annual Updates, Three-Year Plans, and Annual Revenue and Expenditure Reports. Consider what additional information may be useful to capture via the reporting process. **Status**: A Database has been created in which Annual Update, Three-Year Plan, and Annual Revenue and Expenditure Report information is being entered.		
Work Effort or Product	**Due Date**	**Status**
#1 Determine State needs for information that is currently provided within reports	**March 31, 2014**	**Completed**
#2 Develop system for extracting and cataloging State's data needs	**April 30, 2014**	**Completed**
#3 List of recommended data elements	**June 16, 2014**	**Completed**
#4 Complete construction of tables	**August 15, 2014**	**Completed**
#5 Test database functionality	**August 22, 2014**	**Completed**
#6 Complete construction of queries and forms	**October 31, 2014**	**Pending**
#7 Use system to extract and catalog data needed by State for FY 2012/13	**October 31, 2014**	**Pending**
#8 Data quality check	**October 31, 2014**	**Pending**

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MHSOAC Evaluation Unit		
Mental Health Services Act (MHSA) Performance Monitoring		
MHSOAC Staff: Sheridan Merritt **Active Dates:** On-Going **Objective:** Implement a process and system for monitoring and reporting on individual- and system-level indicators designed to measure the impact of MHSA funded programs at the State and county level. **Status:** The MHSA statewide evaluation recently completed by University of California, Los Angeles provided the foundation for a system of ongoing performance monitoring. In future years, this work will be performed internally by MHSOAC staff. The MHSOAC is currently in the process of expanding its internal data management capacity to support this effort.		
Work Effort or Product	**Due Date**	**Status**
#1 Secure HIPAA compliance for MHSOAC staff and information systems to allow for the secure storage and analysis of client-level data	**December 1, 2014**	**Pending**
#2 Develop process for adding additional client-, system- and community-level indicators (Step 2)	**December 31, 2014**	**Pending**
#3 Yearly report of Mental Health System Performance for FY 12/13	**June 30, 2015**	**Pending**
#4 Incorporate items from other workgroups in performance monitoring (Step 3)	**June 30, 2015**	**Pending**
#5 Incorporate specific indicators for MHSA components beyond CSS (i.e. PEI, INN, TN WET) (Step 4)	**TBD**	**Pending**
#6 Incorporate community-level indicators in performance monitoring (Step 5)	**TBD**	**Pending**
#7 Incorporate additional general indicators (Step 6)	**TBD**	**Pending**

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

#8 Add indicators that measure change over time for individual consumers (Step 7)	**TBD**	**Pending**

MHSOAC Evaluation Unit

Collect, Summarize, and Publicize Outcomes From County Evaluations of the Community Services and Supports (CSS) Component

MHSOAC Staff: Ashley Mills, Carrie Masten

Active Dates: January 2014 – June 2015

Objectives: Collect, summarize, and publicize evaluations that counties have completed on the CSS component. Focus on fiscal years 2011/12 and 2012/13.

Status: MHSOAC Staff developed a method to obtain information from counties about completed CSS evaluations. Staff solicited and incorporated input from counties on the survey methodology. Individualized data requests were disseminated to counties February 14, 2014. All completed surveys and reports of local evaluations were returned to the MHSOAC by June 30, 2014. Information from the surveys and reports is currently being compiled for analysis.

Work Effort or Product	Due Date	Status
#1 Develop methodology to collect information from counties on completed evaluations of the CSS component	**February 15, 2014**	**Completed**
#2 Collect data/information from counties	**June 30, 2014**	**Completed**
#3 Conduct review of data and documents received from counties and extract relevant information as needed	**January 31, 2015**	**Pending**
#4 Written report that summarizes and synthesizes county evaluations of the CSS component completed in FY 2011/12 and 2012/13	**March 31, 2015**	**Pending**

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

MHSOAC Evaluation Unit

Prevention and Early Intervention (PEI) evaluation strengthening; collect, summarize, and publicize completed PEI evaluations

MHSOAC Staff: Ashley Mills, Carrie Masten

Active Dates: January 2014 – June 30, 2015

Objectives:

- Determine status of county efforts to evaluate one PEI project; make recommendations as needed to ensure adequate evaluations. Focus on fiscal year 2012/13.
- Collect, summarize, and publicize PEI evaluations that counties have completed. Focus on fiscal year 2012/13.

Status: The developed survey instruments were disseminated to the counties (Mental Health Directors and MHSA Coordinators) on February 14, 2014. All completed survey instruments and local evaluation reports were returned to the MHSOAC by June 30, 2014. Information is currently being compiled for analysis.

Work Effort or Product	Due Date	Status
#1 Develop methodology to collect information from counties on completed evaluations of the PEI component and evaluation methods used	**February 15, 2014**	**Completed**
#2 Collect data/information from counties on completed PEI evaluations and evaluation methods	**June 30, 2014**	**Completed**
#3 Conduct review of data and documents received from counties and extract relevant information as needed	**January 31, 2015**	**Pending**
#4 Written report that summarizes and synthesizes county evaluations of the PEI component completed in FY 2012/13	**March 31, 2015**	**Pending**
#5 Written report that provides recommendations for how to help strengthen county PEI evaluations and implementation plan	**May 31, 2015**	**Pending**

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Forthcoming MHSOAC Evaluation Contracts:

The Regents of the University of California, University of California, Davis
Early Psychosis Evaluation
MHSOAC Staff: Ashley Mills **Active Dates**: ~January 1, 2015 - Not to exceed June 30, 2017 **Objective**: To determine the program costs, outcomes, and costs associated with those outcomes when providing early psychosis programs. This evaluation will use the data from the Early Diagnosis and Preventative Treatment of Psychosis Illness (SacEDAPT) program in Sacramento County to pilot a method to calculate the program costs, outcomes, and costs associated with those outcomes when providing the SacEDAPT program. The evaluation will also develop and implement a method for identifying and describing all early psychosis programs throughout the State, to include specifically, for example, the data elements that are collected by these programs and the various ways in which they are collected (i.e., via Electronic Health Records or EHRs); data elements will be used to provide insight regarding capacity to assess program costs, outcomes, and costs associated with those outcomes for early psychosis programs statewide, as well as methods to use during the Sacramento County pilot. Ultimately, this project will build the foundation for a forthcoming statewide evaluation that aims to demonstrate the benefits associated with providing treatment to individuals experiencing signs and symptoms of early psychosis, including benefits experienced by clients (e.g., improving quality of life) and society at large (e.g., potential cost savings). **Status**: MHSOAC staff is working with the contractor to refine a scope of work, budget, and deliverables for this contract. The contract is anticipated to be executed by January 1, 2015.

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

The Regents of the University of California, University of California, San Diego (UCSD)

Recovery Orientation of Programs Evaluation

MHSOAC Staff: Ashley Mills

Active Dates: ~January 1, 2015 – Not to exceed June 30, 2017

Objectives:

1. To identify, describe, and assess existing measures and methods of evaluating the recovery orientation of programs and services with the goal of providing recommendations and resources to providers, counties, and the State regarding the most optimal measures and methods to use for evaluating recovery orientation;

2. To conduct an evaluation of the recovery orientation of direct and indirect services/programs provided within the Community Services and Supports (CSS) component (focused on the adult system of care) that will achieve the following:

    a. Describe the extent to which CSS component programs/services are using recovery orientated approaches and attaining the MHSA value of offering recovery oriented programs/services;

    b. Identify predictors (e.g., types and characteristics of training, staff, programs, services) that promote and encourage recovery orientation; and

    c. Identify client-level outcomes (e.g., individual mental health status and recovery, individual functioning) that result from program/service recovery orientation or predictors of recovery orientation;

3. To use results from the evaluation to provide recommendations to providers, counties, and the State for achievement/promotion of recovery orientation in programs/services, as well as recovery and wellness of the clients that are served via these programs/services.

Status: The Contract was awarded to the Regents of the University of California, University of California, San Diego (UCSD) through a competitive bidding process. The Commission authorized the Interim Executive Director to enter into a contract with UCSD, and MHSOAC staff is now working with UCSD to finalize the contract. The contract is scheduled to be executed on January 1, 2015.

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

Mental Health Data Alliance (MHDatA)

Full Service Partnership (FSP) Classification Project

MHSOAC Staff: Keith Erselius

Active Dates: November 2014 – Not to exceed June 30, 2017

Objective: The purpose of this evaluation effort is to assess Full Service Partnerships (FSPs) on a statewide level in order to classify them in a meaningful and useful fashion that should ultimately enable clients, family members, providers, counties, and the State to further understand the diversity of FSPs across California.

Status: At the August Commission meeting, Commissioners gave authorization for the Executive Director to execute a contract with the Mental Health Data Alliance (MHDatA). The Contract is currently in the development stage and should begin in the Fall of 2014.

Work Effort or Product	Due Date	Status
#1 Preliminary Statewide FSP Classification System Presentation Based on Focus Groups and/or Interviews	**February 27, 2015**	**Pending**
#2 Report of Proposed Statewide FSP Classification System Based on Stakeholder Input	**June 29, 2015**	**Pending**
#3 Report of Final Statewide FSP Classification System Based on Public Comment	**August 31, 2015**	**Pending**
#4 Report of Online Statewide FSP Classification System Website Version 1.0 Design Specification	**November 20, 2015**	**Pending**
#5 Online Statewide FSP Classification System Website Version 1.0	**May 02, 2016**	**Pending**

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

#6 Online Statewide FSP Classification System Website Administrator Training and Technical Assistance Report	**August 01, 2016**	**Pending**
#7 Online Statewide FSP Classification System Website User Training and Technical Assistance Report	**August 29, 2016**	**Pending**

Stewards of Change Consulting LLC

Feasibility Study Report or Advanced Planning Document

MHSOAC Staff: Keith Erselius

Active Dates: November 2014 – Not to exceed June 30, 2015

Objective: The purpose of this evaluation effort is to assess current California public mental health and substance use data collection systems and ascertain what needs to be accomplished to ensure that the Department of Health Care Services as well as the Mental Health Services Oversight and Accountability commission has the data they need to perform their respective statutory roles. This shall be accomplished through the provision of a State level Feasibility Study Report (FSR) or Federal level Advanced Planning Document that will also serve as the State level FSR along with necessary attachments.

Status: At the August Commission meeting, Commissioners gave authorization for the Executive Director to execute a contract with the Stewards of Change. The Contract is currently in the development stage and should begin in the Fall of 2014.

Work Effort or Product	Due Date	Status
Deliverable 1: Report of project plan, schedule/timeline, and entities/ individuals involved and their roles.	12/05/2014	**Pending**

* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

Deliverable 1: Report of project plan, schedule/timeline, and entities/ individuals involved and their roles.	12/05/2014	**Pending**
Deliverable 3: Report of all current public behavioral health data collection and reporting systems.	12/12/2014	**Pending**
Deliverable 4: Report of recommended steps that need to be taken in order to meet current State and Federal requirements for behavioral health.	12/26/2014	**Pending**
Deliverable 5: Reports of developed strategies, completed APD or FSR, and action plan.	02/13/2015	**Pending**

Contractor TBD via Request for Proposals (RFP)

Determine Effectiveness of Selected Mental Health Services Act Programs for Older Adults

MHSOAC Staff: Sheridan Merritt

Active Dates: ~May 1, 2015 to June 30, 2017

Objective: Through this effort, MHSOAC will evaluate the effectiveness of county-led programs to improve outcomes for older adults with mental health needs, support quality improvement efforts for existing programs, and identify best practices and promising programs for expansion to underserved communities.

Status: Evaluation planning began in early 2014. The scope of work was approved by the Commission in October 2014. The evaluation will be initiated in early 2015 and use a combination of internal and external resources.

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