

## Current MHSOAC Evaluation Contracts and Deliverables:

### University Enterprises Inc. for California State University, Sacramento

#### DCR Data Quality and Corrections Plan

**MHSOAC Staff:** Keith Erselius

**Active Dates:** June 27, 2011 – October 31, 2014

**Objective:** Assess the quality of Full Service Partnership (FSP) data available via the Data Collections and Reporting (DCR) system and make recommendations for how to overcome problems and limitations. Information regarding the DCR obtained via interviews with State, county, provider, vendor, and stakeholder groups. Summarize issues and recommend potential solutions and best practices. Implement solutions as possible (e.g. webinars, onsite and regional trainings).

**Status:** Completed. Counties have been provided with user manuals, training opportunities, webinars, and data dictionaries, as well as tools to analyze data from the DCR. County specific reports and statewide DCR quality reports are now complete. Counties have verbally expressed their gratitude for this contact, as it has had a profound impact on the way counties can access and analyze their DCR data.

Deliverable	Due Date*	Deliverable Cost	Status
#1.1 Data Dictionary: Draft	August 15, 2011	\$27,711.00	Completed
#1.2 Data Dictionary: Final	October 17, 2011	\$27,711.00	Completed
#2.1 User Manual Draft Chapters 1-4	October 17, 2011	\$14,983.00	Completed
#2.2 User Manual Draft Chapters 5-8	November 21, 2011	\$14,983.00	Completed
#2.3 User Manual Revised draft all Chapters	December 19, 2011	\$14,983.00	Completed
#2.4 User Manual Final (digital due 1/17/12)	February 13, 2012	\$14,983.00	Completed
#3.1 DCR Training Curriculum: Draft	February 13, 2012	\$21,894.00	Completed
#3.2 DCR Training Curriculum: Final	April 2, 2012	\$21,894.00	Completed

\* Reflects the date that the deliverable is due to the MHSOAC for an internal review for compliance and approval.

# Performance Dashboard **(updated 11/5/14)**

November 2014



#4.1 DCR Training: Region 1	June 29, 2012	\$8,500.00	Completed
#4.2 DCR Training: Region 2	June 29, 2012	\$8,500.00	Completed
#4.3 DCR Training: Region 3	June 29, 2012	\$8,500.00	Completed
#4.4 DCR Training: Region 4	June 29, 2012	\$8,500.00	Completed
#5.1 Application Notes: Draft 1 & 2	March 5, 2012	\$14,443.00	Completed
#5.2 Application Notes Final 1 &2 (digital due 4/5/12)	May 7, 2012	\$14,443.00	Completed
#6.1 Data Quality Reports: County Level Draft	November 7, 2011	\$20,771.00	Completed
#6.2 Data Quality Reports: County Level Draft	January 9, 2012	\$20,771.00	Completed
#7.1 Report Template: Client Level Draft	December 19, 2011	\$12,877.00	Completed
#7.2 Report Template: Client Level Final (digital 4/20/12)	May 21, 2012	\$17,954.00	Completed
#8.1 Data Analysis Training Curriculum: Draft	March 20, 2012	\$17,954.00	Completed
#8.2 Data Analysis Training Curriculum: Final	May 21, 2012	\$17,954.00	Completed
#9.1 Data Analysis Training: Region1	June 29, 2012	\$8,500.00	Completed
#9.2 Data Analysis Training: Region 2	June 29, 2012	\$8,500.00	Completed
#9.3 Data Analysis Training: Region 3	June 29, 2012	\$8,500.00	Completed
#9.4 Data Analysis Training: Region 4	June 29, 2012	\$8,500.00	Completed
#10.1 e-Learning: Digital video of DCR Training	June 29, 2012	\$500.00	Completed
#10.2 e-Learning: Digital Video of Data Analysis Training	June 29, 2012	\$500.00	Completed
#11.1 Statewide Data Quality Improvement Webinar and FAQ's	May 15, 2012	\$17,567	Completed

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



#11.2 Statewide Data Quality Improvement Webinar and FAQ's	December 17, 2012	\$17,566	Completed
#11.3 Statewide Data Quality Improvement Webinar and FAQ's	February 18, 2013	\$17,566	Completed
#11.4 Statewide Data Quality Improvement Webinar and FAQ's	April 15, 2013	\$17,566	Completed
#11.5 Statewide Data Quality Improvement Webinar and FAQ's	June 17, 2013	\$17,566	Completed
#12 Statewide Data Quality Correction Plan for County Reporting Types	December 17, 2012	\$75,400	Completed
#13 Statewide FSP Data Measures Training	September 16, 2013	\$69,900	Completed
#14 County-Level DCR Data Quality Reports	December 16, 2013	\$62,627	Completed
#15.1 Statewide DCR Data Quality Report: Draft	January 30, 2014	\$62,890	Completed
#15.2 Statewide DCR Data Quality Report: Final	March 15, 2014	\$25,210	Completed
#16 State Data Correction and Cleaning Assistance to Counties for Improved DCR Data Quality	June 30, 2013	\$186,388	Completed
#17 Provide a county-level FSP provider and program outcomes report for each county	March 31, 2014	\$54,000	Completed
#18 Provide a statewide FSP program outcomes report	June 30, 2014	\$49,000	Completed
#19 Program-, provider-, and partnership service coordinator-level report templates	October 15, 2014	\$83,000	Completed
<b>Total Contract Amount</b>		<b>\$1,121,555</b>	

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California Institute for Behavioral Health Solutions (CiBHS)

Innovative Program Evaluations - Inventory, Evaluation, and Training/Technical Assistance

**MHSOAC Staff:** Ashley Mills

**Active Dates:** June 2013 – May 31, 2016

**Objectives:** Perform an inventory and meta-level evaluation of the Innovative program evaluation activities that have been planned or carried out by counties. Based on information gleaned from this process, identify promising Innovation evaluation practices and needs for technical assistance at the county level. Develop and provide tools, training, and technical assistance to strengthen county ability to plan and conduct high quality Innovation evaluations. Identify policy recommendations related to the Innovation component. A contract amendment extended due dates, added funding, and revised deliverables to enable more extensive tools, training and technical assistance to counties.

**Status:** The Contractors are currently administering surveys to counties that are either planning or implementing innovation programs. Surveys are due to the contractor no later than December 5, 2014. The results of the survey is the Inventory of County-level Innovation Evaluations (Deliverable 2), and it is due February 27, 2015.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Inventory Method and Research/Evaluation Design	January 21, 2014	\$29,631	Completed
#2 Inventory of County-level Innovation Evaluations	February 27, 2015	\$98,771	Pending
#3 Report of Evaluation Results and Promising Practices	December 31, 2014 <sup>1</sup>	\$19,754	Pending
#4 Report of Technical Assistance to Counties	April 30, 2016	\$10,000	Pending
#5 Report of Innovation Evaluation Policy Recommendations	February 28, 2015	\$19,754	Pending

<sup>1</sup> Due date will change based on a mutually agreed-upon date

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



#6 Develop and Disseminate Tools and Resources to Counties	January 31, 2015	\$19,631	Pending
#7 Develop and Deliver a Modular Training Curriculum to Counties	April 30, 2016	\$50,000	Pending
<b>Total Contract Amount</b>		<b>\$247,541</b>	

**Mental Health Data Alliance (MHDatA)**

**CSI Data Quality Improvement Effort**

**MHSOAC Staff:** Keith Erselius

**Active Dates:** June 15, 2013 – June 30, 2015

**Objective:** To provide counties with increased access to accurate and timely data through strengthening of the Client and Service Information (CSI) system, which collects data on all clients served via the Community Services and Support (CSS) component of the MHSA. Highlights of this contract include the following:

- Develop county-level CSI data quality reports and disseminate those reports to the counties
- Create statewide CSI data quality report that includes best collection/reporting practices
- Develop, and disseminate to counties, a tool to help counties independently analyze their own CSI data
- Create an information sharing link between CSI and DCR systems

**Status:** MHDatA has received two new data pulls from the Department of Health Care Services in order for Deliverable 5 to contain the most relevant information possible.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Statewide data quality best practices plan	January 3, 2014	\$58,000	Completed
#2 County-level data quality reports with basic CSI client information	February 28, 2014	\$82,250	Completed

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## Performance Dashboard **(updated 11/5/14)**

November 2014



#3	CSI data submission file analysis tool	May 16, 2014	\$46,000	Completed
#4	Statewide CSI data quality report	August 1, 2014	\$76,250	Completed
#5	Create a link between the DCR and CSI in order to provide diagnosis, GAF scores, and service types for DCR clients served (including final reports)	December 15, 2014	\$88,000	Pending
#6	Provide reports on the effects of full service partnership programs on emergency mental health services for youth.	April 30, 2015	\$0	Pending
#7	CSI Submission File Analysis (SFA) Tool Enhancements	March 30, 2015	\$64,000	Pending
#8	FSP EPLD Templates Enhancements to Support CSI Tool	March 30, 2015	\$17,500	Pending
#9	Regional Trainings and Technical Assistance	June 30, 2015	\$68,500	Pending
<b>Total Contract Amount</b>			<b>\$550,500</b>	

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



**The Regents of the University of California, University of California, San Diego (IA)**

**Evaluation of Methods for Engaging and Serving Transition Age Youth (TAY)**

**MHSOAC Staff:** Sheridan Merritt

**Active Dates:** May 1, 2014 to June 30, 2016

**Objective:** Identify, describe, and assess outreach/engagement strategies and services that have been or are being offered for TAY throughout the State, and promote continued identification and adoption of effective support (i.e., services, strategies, programs, systems) that promotes positive outcomes in TAY with mental health needs, including recovery and resilience.

**Status:** The report of proposed research design was submitted and approved in June 2014. The evaluators have secured Institutional Review Board approval for the study design, finalized data collection instruments based on stakeholder feedback and are currently conducting interviews with key county level stakeholders and collecting survey data from TAY providers throughout the State.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Research Design	June 1, 2014	\$100,000	Completed
#2 Report of Research Findings	March 1, 2015	\$150,000	Pending
#3 Report of Recommended Evaluation and Quality Improvement Methods	May 1, 2015	\$50,000	Pending
<b>#4 Identify, Develop, and Provide Technical Assistance to Counties</b>			
#4a Report Describing Proposed Methods to Complete Work Outlined in Exhibit A Section 3.C.vi	May 1, 2015	\$50,000	Pending
#4b Report Describing Completion of Work Outlined in Exhibit A Section 3.C.vi	March 1, 2016	\$100,000	Pending
#5 Report of TAY Policy Recommendations	April 1, 2016	\$50,000	Pending
<b>Total Contract Amount</b>		<b>\$500,000</b>	

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**The Regents of the University of California, University of California, San Diego**

**Community Services and Supports (CSS) Tracking, Monitoring, and Evaluation System**

**MHSOAC Staff:** Keith Erselius

**Active Dates:** May 15, 2014 – June 30, 2016

**Objective:** Development and implementation of a tracking, monitoring, and evaluation system for adults receiving services via CSS that allows for evaluation of those clients and services. The ultimate goal of this project will be to contribute to our ability to understand and improve upon the quality of services offered via the CSS component and the statewide system that supports these services.

- Pilot data and outcomes system with select counties and providers to evaluate the feasibility of expanding the system statewide
- Inform policy and practices regarding a data collection system that could potentially expand to all MHSOAC components

**Status:** Currently the contractor is working towards the completion of the first two deliverables and has begun meeting with an Evaluation Advisory Group. A survey has been widely distributed and the contractor is receiving a good response.

Deliverable	Due Date*	Deliverable Cost	Status
#1 Report of Proposed Tracking, Monitoring, and Evaluation System for Adults Receiving Services within the CSS Component	January 19, 2015	\$144,639	Pending
#2 Report of Proposed Implementation Plan to Pilot the Tracking, Monitoring, and Evaluation System in a Sample of Providers/Counties	January 19, 2015	\$104,458	Pending
#3 Report of Proposed Research Design and Analytic Plan to Evaluate the Efficacy of CSS Services for Clients in Less Comprehensive Services than Full Service Partnerships	April 6, 2015	\$104,458	Pending
#4 Report of Evaluation Results	March 4, 2016	\$203,554	Pending

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



<b>#5 Report of Policy and Practice Recommendations for How to Improve Upon Current CSS Services, Evaluations, and Systems</b>	<b>March 4, 2016</b>	<b>\$139,277</b>	<b>Pending</b>
<b>Total Contract Amount</b>		<b>\$696,386</b>	

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## Ongoing MHSOAC Internal Evaluation Projects:

MHSOAC Evaluation Unit		
<b>Tracking and Monitoring of Mental Health Services Act (MHSA) Programs and Activities via Plans, Updates, and Expenditure Reports</b>		
<p><b>MHSOAC Staff:</b> Keith Erselius</p> <p><b>Active Dates:</b> December 2013 – December 2014</p> <p><b>Objectives:</b> Develop and implement a system for extracting and utilizing information of interest for tracking and monitoring MHSA program activities and outcomes for FY 2011/12 and 2012/13 from Annual Updates, Three-Year Plans, and Annual Revenue and Expenditure Reports. Consider what additional information may be useful to capture via the reporting process.</p> <p><b>Status:</b> A Database has been created in which Annual Update, Three-Year Plan, and Annual Revenue and Expenditure Report information is being entered.</p>		
Work Effort or Product	Due Date	Status
#1 Determine State needs for information that is currently provided within reports	March 31, 2014	Completed
#2 Develop system for extracting and cataloging State’s data needs	April 30, 2014	Completed
#3 List of recommended data elements	June 16, 2014	Completed
#4 Complete construction of tables	August 15, 2014	Completed
#5 Test database functionality	August 22, 2014	Completed
#6 Complete construction of queries and forms	October 31, 2014	Pending
#7 Use system to extract and catalog data needed by State for FY 2012/13	October 31, 2014	Pending
#8 Data quality check	October 31, 2014	Pending

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



<b>MHSOAC Evaluation Unit</b>		
<b>Mental Health Services Act (MHSA) Performance Monitoring</b>		
<p><b>MHSOAC Staff:</b> Sheridan Merritt</p> <p><b>Active Dates:</b> On-Going</p> <p><b>Objective:</b> Implement a process and system for monitoring and reporting on individual- and system-level indicators designed to measure the impact of MHSA funded programs at the State and county level.</p> <p><b>Status:</b> The MHSA statewide evaluation recently completed by University of California, Los Angeles provided the foundation for a system of ongoing performance monitoring. In future years, this work will be performed internally by MHSOAC staff. The MHSOAC is currently in the process of expanding its internal data management capacity to support this effort.</p>		
<b>Work Effort or Product</b>	<b>Due Date</b>	<b>Status</b>
#1 Secure HIPAA compliance for MHSOAC staff and information systems to allow for the secure storage and analysis of client-level data	December 1, 2014	Pending
#2 Develop process for adding additional client-, system- and community-level indicators (Step 2)	December 31, 2014	Pending
#3 Yearly report of Mental Health System Performance for FY 12/13	June 30, 2015	Pending
#4 Incorporate items from other workgroups in performance monitoring (Step 3)	June 30, 2015	Pending
#5 Incorporate specific indicators for MHSA components beyond CSS (i.e. PEI, INN, TN WET) (Step 4)	TBD	Pending
#6 Incorporate community-level indicators in performance monitoring (Step 5)	TBD	Pending
#7 Incorporate additional general indicators (Step 6)	TBD	Pending

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



#8 Add indicators that measure change over time for individual consumers (Step 7)	TBD	Pending
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MHSOAC Evaluation Unit		
<b>Collect, Summarize, and Publicize Outcomes From County Evaluations of the Community Services and Supports (CSS) Component</b>		
<p><b>MHSOAC Staff:</b> Ashley Mills, Carrie Masten</p> <p><b>Active Dates:</b> January 2014 – June 2015</p> <p><b>Objectives:</b> Collect, summarize, and publicize evaluations that counties have completed on the CSS component. Focus on fiscal years 2011/12 and 2012/13.</p> <p><b>Status:</b> MHSOAC Staff developed a method to obtain information from counties about completed CSS evaluations. Staff solicited and incorporated input from counties on the survey methodology. Individualized data requests were disseminated to counties February 14, 2014. All completed surveys and reports of local evaluations were returned to the MHSOAC by June 30, 2014. Information from the surveys and reports is currently being compiled for analysis.</p>		
Work Effort or Product	Due Date	Status
#1 Develop methodology to collect information from counties on completed evaluations of the CSS component	February 15, 2014	Completed
#2 Collect data/information from counties	June 30, 2014	Completed
#3 Conduct review of data and documents received from counties and extract relevant information as needed	December 31, 2014	Pending
#4 Written report that summarizes and synthesizes county evaluations of the CSS component completed in FY 2011/12 and 2012/13	February 28, 2015	Pending

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MHSOAC Evaluation Unit		
<b>Prevention and Early Intervention (PEI) evaluation strengthening; collect, summarize, and publicize completed PEI evaluations</b>		
<p><b>MHSOAC Staff:</b> Ashley Mills, Carrie Masten</p> <p><b>Active Dates:</b> January 2014 – June 30, 2015</p> <p><b>Objectives:</b></p> <ul style="list-style-type: none"> <li>• Determine status of county efforts to evaluate one PEI project; make recommendations as needed to ensure adequate evaluations. Focus on fiscal year 2012/13.</li> <li>• Collect, summarize, and publicize PEI evaluations that counties have completed. Focus on fiscal year 2012/13.</li> </ul> <p><b>Status:</b> The developed survey instruments were disseminated to the counties (Mental Health Directors and MHSA Coordinators) on February 14, 2014. All completed survey instruments and local evaluation reports were returned to the MHSOAC by June 30, 2014. Information is currently being compiled for analysis.</p>		
Work Effort or Product	Due Date	Status
#1 Develop methodology to collect information from counties on completed evaluations of the PEI component and evaluation methods used	February 15, 2014	Completed
#2 Collect data/information from counties on completed PEI evaluations and evaluation methods	June 30, 2014	Completed
#3 Conduct review of data and documents received from counties and extract relevant information as needed	December 31, 2014	Pending
#4 Written report that summarizes and synthesizes county evaluations of the PEI component completed in FY 2012/13	February 28, 2015	Pending
#5 Written report that provides recommendations for how to help strengthen county PEI evaluations and implementation plan	April 30, 2015	Pending

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## Forthcoming MHSOAC Evaluation Contracts:

### The Regents of the University of California, University of California, Davis

#### Early Psychosis Evaluation

**MHSOAC Staff:** Ashley Mills

**Active Dates:** ~November 30, 2014 - Not to exceed June 30, 2017

**Objective:** To determine the costs and outcomes of providing early psychosis programs. This evaluation will use the data from the Early Diagnosis and Preventative Treatment of Psychosis Illness (SacEDAPT) program in Sacramento County to pilot a method to calculate the costs and outcomes associated with providing the SacEDAPT program. The evaluation will also develop and implement a method for identifying and describing all early psychosis programs throughout the State, to include specifically, for example, the data elements that are collected by these programs and the various ways in which they are collected (i.e., via Electronic Health Records or EHRs); data elements will be used to provide insight regarding capacity to assess costs and outcomes for early psychosis programs statewide, as well as methods to use during the Sacramento County pilot. Ultimately, this project will build the foundation for a forthcoming statewide evaluation that aims to demonstrate the benefits associated with providing treatment to individuals experiencing signs and symptoms of early psychosis, including benefits experienced by clients (e.g., improving quality of life) and society at large (e.g., cost savings).

**Status:** MHSOAC staff is working with the contractor to refine a scope of work, budget, and deliverables for this contract. The contract is anticipated to be executed by November 30, 2014.

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**Mental Health Data Alliance (MHDatA) - Contract Not Executed as of 11/03/2014**

**Full Service Partnership (FSP) Classification Project**

**MHSOAC Staff:** Keith Erselius

**Active Dates:** November 2014 – Not to exceed June 30, 2017

**Objective:** The purpose of this evaluation effort is to assess Full Service Partnerships (FSPs) on a statewide level in order to classify them in a meaningful and useful fashion that should ultimately enable clients, family members, providers, counties, and the State to further understand the diversity of FSPs across California.

**Status:** At the August Commission meeting, Commissioners gave authorization for the Executive Director to execute a contract with the Mental Health Data Alliance (MHDatA). The Contract is currently in the development stage and should begin in the Fall of 2014.

Work Effort or Product	Due Date	Status
#1 Preliminary Statewide FSP Classification System Presentation Based on Focus Groups and/or Interviews	February 27, 2015	Pending
#2 Report of Proposed Statewide FSP Classification System Based on Stakeholder Input	June 29, 2015	Pending
#3 Report of Final Statewide FSP Classification System Based on Public Comment	August 31, 2015	Pending
#4 Report of Online Statewide FSP Classification System Website Version 1.0 Design Specification	November 20, 2015	Pending
#5 Online Statewide FSP Classification System Website Version 1.0	May 02, 2016	Pending

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**Performance Dashboard (updated 11/5/14)**

**November 2014**



<p><b>#6 Online Statewide FSP Classification System Website Administrator Training and Technical Assistance Report</b></p>	<p><b>August 01, 2016</b></p>	<p><b>Pending</b></p>
<p><b>#7 Online Statewide FSP Classification System Website User Training and Technical Assistance Report</b></p>	<p><b>August 29, 2016</b></p>	<p><b>Pending</b></p>

**Contractor TBD via Request for Proposals (RFP)**

**Determine Effectiveness of Selected Mental Health Services Act Programs for Older Adults**

**MHSOAC Staff:** Sheridan Merritt

**Active Dates:** ~May 1, 2015 to June 30, 2017

**Objective:** Through this effort, MHSOAC will evaluate the effectiveness of county-led programs to improve outcomes for older adults with mental health needs, support quality improvement efforts for existing programs, and identify best practices and promising programs for expansion to underserved communities.

**Status:** Evaluation planning began in early 2014. The scope of work was approved by the Commission in October 2014. The evaluation will be initiated in early 2015 and use a combination of internal and external resources.

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