

**Summary of Tracking and Evaluation Requirements in Proposed PEI Regulations**  
**Annual Report: First report from counties due 12/30 of “Fiscal Year immediately following the effective date of the Regulations” (12/30/16)**  
**Three Year Evaluation Report: First report from counties due 12/30/2018**

Programs and Strategies within Programs	Tracking Requirements	Evaluation Requirements
Early Intervention Program	Unduplicated number of individuals served annually in the preceding fiscal year( 3560.010(b)(1)(B)) <ul style="list-style-type: none"> <li>• Annual Report</li> <li>• Three-Year Report</li> </ul>	County-selected measure of mental health recovery including reduction of symptoms and other indicators related to applicable MHSA negative outcomes (3750(a) and (c)) <ul style="list-style-type: none"> <li>• Three Year Report</li> </ul>
Prevention Program	Unduplicated number of individuals served annually in the preceding fiscal year (3560.010(b)(1)(B)) <ul style="list-style-type: none"> <li>• Annual Report</li> <li>• Three-Year Report</li> </ul>	County-selected measure of reduced risk or sub-clinical manifestation of mental illness and other indicators related to applicable MHSA negative outcomes (3750(b) and (c)) <ul style="list-style-type: none"> <li>• Three Year Report</li> </ul>
Outreach for Increasing Recognition of Early Signs of Mental Illness	Number of potential responders engaged, setting, type of responder (3560.010(b)(2)) <ul style="list-style-type: none"> <li>• Annual Report</li> <li>• Three Year Report</li> </ul>	None
Improving Timely Access to Services for Underserved Populations	Number of individuals referred (3560.010(b)(4)(C)) <ul style="list-style-type: none"> <li>• Annual Report</li> </ul>	Number of individuals who followed through with referral defined as number who participated at least once in program to which referred; average interval between referral and participation in service (3560.010(b)(4)(D)) <ul style="list-style-type: none"> <li>• Annual Report</li> <li>• Three Year Report</li> </ul>
Increasing Access to Treatment for People with Serious Mental Illness (beyond early onset)	Number of individuals with SMI referred, kinds of treatment to which referred (3560.010(b)(3)) <ul style="list-style-type: none"> <li>• Annual Report</li> </ul>	Number of individuals who followed through on the referral defined as participating at least once in treatment to which referred; average interval between referral and participation in treatment; duration of untreated mental illness for referred individuals previously untreated for severe mental illness, defined as interval between onset of symptoms and entry into treatment

Programs and Strategies within Programs	Tracking Requirements	Evaluation Requirements
		as the result of a PEI referral (3560.010(b)(3)) <ul style="list-style-type: none"> <li>• Annual Report</li> <li>• Three Year Report</li> </ul>
Non-stigmatizing and Non-discriminatory Service Delivery	None	None
Reducing Stigma and Discrimination	None	Changes in knowledge, attitudes, and/or behavior related to mental illness or to seeking mental health services, using self-selected validated instrument applicable to specific goals of program (3750(d)) <ul style="list-style-type: none"> <li>• Three Year Report</li> </ul>
Preventing Suicide Related to Mental Illness (program does not focus on intended outcomes for specific individuals at risk of or with early onset of a mental illness)	None	Changes in knowledge and/or behavior related to preventing suicide associated with risk or presence of mental illness, using self-selected validated instrument applicable to specific goals of program (3750(e)) <ul style="list-style-type: none"> <li>• Three Year Report</li> </ul>

The above information is required to be disaggregated by: age, race, ethnicity, primary language used, sexual orientation, disability (not the result of a serious mental illness), veteran status, and gender identity. (3560.010(b)(5))